# Solutions to exercises on metric and Hilbert spaces An invitation to functional analysis

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Version of Sat  $23^{rd}$  Aug, 2025 at 16:17

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# Contents

1.	METRIC AND TOPOLOGICAL SPACES	5
	Metrics	5
	Topological spaces and continuous functions	8
	Interior and closure	
	Metric topologies	
	Connectedness	
	Compactness	15
	Sequences	
	Uniform continuity and completeness	19
2.	NORMED AND HILBERT SPACES	25
Α.	Appendix: Prerequisites	27
	Equivalence relations	27
	(Un) countability	
	Linear algebra	
	Uniform continuity and uniform convergence	
В.	Appendix: Miscellaneous	31
	Zorn's Lemma	
	Linear algebra	
	Topological groups	35

# 1. Metric and topological spaces

# Metrics

Solution 1.1. We need to show that

$$-d(x,t) \leqslant d(x,y) - d(t,y) \leqslant d(x,t).$$

One application of the triangle inequality gives

$$d(x,y) \le d(x,t) + d(t,y)$$
  $\Rightarrow$   $d(x,y) - d(t,y) \le d(x,t)$ .

Another application gives

$$d(t,y) \le d(t,x) + d(x,y)$$
  $\Rightarrow$   $-d(x,t) \le d(x,y) - d(t,y)$ .

Solution 1.2. We have

$$|d(x,y) - d(s,t)| = |d(x,y) - d(y,s) + d(y,s) - d(s,t)|$$

$$\leq |d(x,y) - d(y,s)| + |d(y,s) - d(s,t)|$$

$$\leq d(x,s) + d(y,t)$$

after one application of the triangle inequality and two applications of Exercise 1.1.

Solution 1.3. We have

(a) 
$$d(x,y) = ||x-y|| = \sqrt{(x-y)\cdot(x-y)} = \sqrt{(-1)^2(y-x)\cdot(y-x)} = ||y-x|| = d(y,x)$$
;

(b) Let u = x - t and v = t - y, then we are looking to show that  $||u + v|| \le ||u|| + ||v||$ . But:

$$||u+v||^2 = (u+v) \cdot (u+v) = ||u||^2 + 2u \cdot v + ||v||^2 \le ||u||^2 + 2|u \cdot v| + ||v||^2$$

$$\le ||u||^2 + 2||u|| ||v|| + ||v||^2 = (||u|| + ||v||)^2,$$

where the last inequality sign comes from the Cauchy–Schwarz inequality.

(c) 
$$d(x,y) = 0$$
 iff  $(x-y) \cdot (x-y) = 0$  iff  $x-y = 0$  iff  $x = y$ .

**Solution 1.4.** The Manhattan unit open ball is the interior of the square with vertices (1,0), (0,-1), (-1,0), and (0,1).

The Euclidean unit open ball is the interior of the unit circle centred at (0,0).

The sup metric unit open ball is the interior of the square with vertices (1,1), (1,-1), (-1,-1), and (-1,1).

**Solution 1.5.** It is clear from the definition that d(y,x) = d(x,y) and that d(x,y) = 0 iff x = y.

For the triangle inequality, take  $x, y, t \in X$  and consider the different cases:

x = y	x = t	t = y	d(x,y)	d(x,t) + d(t,y)
True	True	True	0	0 + 0 = 0
True	False	False	0	1 + 1 = 2
False	True	False	1	1 + 0 = 1
False	False	True	1	0 + 1 = 1
False	False	False	1	1 + 1 = 2

In all cases we see that  $d(x,y) \leq d(x,t) + d(t,y)$ .

**Solution 1.6.** Do this from scratch if you want to, but I prefer to deduce it from other examples we have seen.

First look at the case n = 1,  $X = \mathbf{F}_2$ . Then d(x, y) is precisely the discrete metric on  $\mathbf{F}_2$  (see Exercise 1.5), in particular it is a metric. I'll denote it  $d_{\mathbf{F}_2}$  for a moment to minimise confusion.

Back in the arbitrary  $n \in \mathbb{N}$  case, note that d(x,y) defined above can be expressed as

$$d(x,y) = d_{\mathbf{F}_2}(x_1,y_1) + \dots + d_{\mathbf{F}_2}(x_n,y_n),$$

which is a special case of Example 2.3, therefore also a metric.

**Solution 1.7.** It is clear from the definition that d'(x,y) = d'(y,x) and that d'(x,y) = 0 iff d(x,y) = 0 iff x = y.

For the triangle inequality, apply the inequality in the hint with c = d(x, y), a = d(x, t), b = d(t, y).

**Solution 1.8.** Let  $u \in U$ , then  $u \neq x$  so r := d(u, x) > 0. Then  $x \notin \mathbf{B}_r(u)$ , so  $\mathbf{B}_r(u) \subseteq U$ .

**Solution 1.9.** This is a variation on Example 2.8 and a generalisation of Exercise 1.8 (which is the case r = 0).

Consider  $C = \mathbf{D}_r(x)$  with  $x \in X$ ,  $r \in \mathbf{R}_{\geq 0}$ . Let  $y \in X \setminus C$ , then d(x, y) > r. Set t = d(x, y) - r and consider the open ball  $\mathbf{B}_t(y)$ .

I claim that  $\mathbf{B}_t(y) \subseteq (X \setminus C)$ : if  $w \in \mathbf{B}_t(y)$  then d(w,y) < t so

$$d(x,y) \leqslant d(x,w) + d(w,y) \leqslant d(x,w) + t \qquad \Rightarrow \qquad d(x,w) \geqslant d(x,y) - t = r,$$

hence  $w \notin C$ .

**Solution 1.10.** (a) Using the fundamental theorem of arithmetic (the existence of a unique prime factorisation of any natural number  $\geq 2$ ), we have  $m = p^{v_p(m)}m'$  and  $n = p^{v_p(n)}n'$  with  $p \nmid m'$  and  $p \nmid n'$ . Then

$$mn = p^{v_p(m) + v_p(n)} m'n'$$
 and  $p \nmid m'n'$ ,

so that  $v_p(m) + v_p(n)$  is indeed the same as  $v_p(mn)$ .

(b) Write  $x = \frac{m}{n}$ ,  $y = \frac{a}{b}$ , then

$$v_p(xy) = v_p\left(\frac{ma}{nb}\right) = v_p(ma) - v_p(nb) = v_p(m) + v_p(a) - v_p(n) - v_p(b) = v_p(x) + v_p(y).$$

For  $v_p(x+y)$ , without loss of generality assume  $v := v_p(x) \le v_p(y) =: u$  and write  $x = p^v \frac{m'}{n'}$ ,  $y = p^u \frac{a'}{b'}$ . Then

$$x + y = p^{v} \frac{m'}{n'} + p^{u} \frac{a'}{b'} = p^{v} \left( \frac{m'}{n'} + p^{u-v} \frac{a'}{b'} \right) = p^{v} \left( \frac{m'b' + p^{u-v}a'n'}{n'b'} \right),$$

so that (since p does not divide n'b')

$$v_p(x+y) = v + v_p(m'b' + p^{u-v}a'n').$$

Since  $v_p$  of the quantity in parentheses is non-negative, we conclude that  $v_p(x+y) \ge v = \min\{v_p(x), v_p(y)\}$ .

Moreover, if v < u then the quantity in parentheses has valuation zero, so that  $v_p(x+y) = v = \min\{v_p(x), v_p(y)\}.$ 

- (c) Direct from the previous part and  $|x|_p = p^{-v_p(x)}$ .
- (d) We have
  - i. Clearly  $v_p(y-x) = v_p(-1) + v_p(x-y) = v_p(x-y)$ , so  $d_p(y,x) = d_p(x,y)$ .
  - ii. Letting u = x t and v = t y, we want to prove that  $|u + v|_p \le |u|_p + |v|_p$ . But we have already seen that

$$|u+v|_p \le \max\{|x|_p, |y|_p\},\$$

and the latter is clearly  $\leq |x|_p + |y|_p$ .

iii. If  $x \in \mathbf{Q} \neq 0$ , then  $v_p(x) \in \mathbf{Z}$  so  $|x|_p = p^{-v_p(x)} \in \mathbf{Q} \setminus \{0\}$ . Hence  $|x|_p = 0$  iff x = 0, which implies that  $d_p(x, y) = 0$  iff x = y.

#### Solution 1.11. (a) We have

$$\left\{2, 5, -7, \frac{4}{5}\right\} \subseteq \mathbf{B}_{1}(2)$$
$$\left\{3, 30, -24, \frac{39}{4}\right\} \subseteq \mathbf{B}_{1/9}(3).$$

(b) Recall that in the proof of the triangle inequality for the p-adic metric in Exercise 1.10, the following stronger result was shown:

$$d_p(x,y) \leq \max\{d_p(x,t), d_p(t,y)\}.$$

with equality holding if  $d_p(x,t) \neq d_p(t,y)$ . But this precisely says that if  $d_p(x,t) \neq d_p(t,y)$ , then  $d_p(x,y)$  has to be equal to the largest of  $d_p(x,t)$  and  $d_p(t,y)$ .

(c) First  $x \in \mathbf{B}_r(c)$  iff  $c \in \mathbf{B}_r(x)$  (this is true for any metric space). So it suffices to show that  $x \in \mathbf{B}_r(c)$  implies  $\mathbf{B}_r(x) \subseteq \mathbf{B}_r(c)$ . Let  $y \in \mathbf{B}_r(x)$ , then  $d_p(y, x) < r$ , so that

$$d_p(y,c) \le \max \left\{ d_p(y,x), d_p(x,c) \right\} < r,$$

in other words  $y \in \mathbf{B}_r(c)$ .

(d) Consider two open balls  $\mathbf{B}_r(x)$  and  $\mathbf{B}_t(y)$ . Without loss of generality  $r \leq t$ . Suppose that the balls are not disjoint and let  $z \in \mathbf{B}_r(x) \cap \mathbf{B}_t(y)$ . By part (c) this implies that  $\mathbf{B}_r(z) = \mathbf{B}_r(x)$  and  $\mathbf{B}_t(z) = \mathbf{B}_t(y)$ , so that

$$\mathbf{B}_r(x) = \mathbf{B}_r(z) \subseteq \mathbf{B}_t(z) = \mathbf{B}_t(y).$$

**Solution 1.12.** Any open ball in any metric space is an open set (Example 2.8). Let's show that an arbitrary p-adic open ball  $\mathbf{B}_r(c)$  is closed.

Let  $U = \mathbf{Q} \setminus \mathbf{B}_r(c)$ . Given  $u \in U$ , we have  $|u - c|_p \ge r$ .

I claim that  $\mathbf{B}_r(u) \subseteq U$ , which would imply that U is open, so that  $\mathbf{B}_r(c)$  is closed.

Suppose, on the contrary, that there exists  $t \in \mathbf{B}_r(u) \cap \mathbf{B}_r(c)$ . Then  $|u-t|_p < r$  and  $|t-c|_p < r$ , so that

$$|u-c|_p = |(u-t)+(t-c)|_p \le \max\{|u-t|_p, |t-c|_p\} < r,$$

contradicting the fact that  $|u-c|_p \ge r$ .

# TOPOLOGICAL SPACES AND CONTINUOUS FUNCTIONS

**Solution 1.13.** Let  $n \in \mathbb{N}$  and let  $C_1, \ldots, C_n$  be closed subsets of X. Let

$$C = \bigcup_{i=1}^{n} C_i,$$

then the complement of C is

$$X \setminus C = X \setminus \left(\bigcup_{i=1}^{n} C_i\right) = \bigcap_{i=1}^{n} (X \setminus C_i).$$

For each i = 1, ..., n,  $C_i$  is closed so  $X \setminus C_i$  is open, therefore  $X \setminus C$  is the intersection of finitely many open sets, hence is itself open by the topology axioms. We conclude that C is closed.

For the second statement, let  $\{C_i : i \in I\}$  be a collection of closed subsets of X, indexed by a set I. Let

$$C = \bigcap_{i \in I} C_i,$$

then the complement of C is

$$X \setminus C = X \setminus \left(\bigcap_{i \in I} C_i\right) = \bigcup_{i \in I} \left(X \setminus C_i\right).$$

For each  $i \in I$ ,  $C_i$  is closed so  $X \setminus C_i$  is open, hence  $X \setminus C$  is the union of a collection of open sets, so is itself open by the topology axioms. We conclude that C is closed.

**Solution 1.14.** One direction is obvious: if U is open in X, then given any  $u \in U$  we can take  $V_u = U$  as an open neighbourhood contained in U.

In the other direction, suppose U has the given property at every  $u \in U$ . Then

$$U = \bigcup_{u \in U} V_u,$$

therefore U is open, since it is the union of the collection  $\{V_u : u \in U\}$  of open sets.

**Solution 1.15.** If U is open, then it is an open neighbourhood of its elements by definition. Conversely, suppose U is a neighbourhood of every element of itself. If x is an element of U, then U contains some open neighbourhood  $V_x$  of x. Now  $U = \bigcup_{x \in U} V_x$ , so U is open.

**Solution 1.16.** Let  $f: X \longrightarrow Y$  be a function. The only open subsets of Y are  $\emptyset$  and Y. Since  $f^{-1}(\emptyset) = \emptyset$  and  $f^{-1}(Y) = X$ , it follows that f is continuous.

#### Solution 1.17.

- (a) We have  $x \in f^{-1}(S)$  iff  $f(x) \in S$  iff  $f(x) \notin (Y \setminus S)$  iff  $x \notin f^{-1}(Y \setminus S)$ .
- (b) Suppose f is continuous and  $C \subseteq Y$  is closed. By part (a) we have

$$f^{-1}(C) = X \setminus f^{-1}(Y \setminus C).$$

Then  $(Y \setminus C) \subseteq Y$  is open and f is continuous, so  $f^{-1}(Y \setminus C) \subseteq X$  is open, therefore  $f^{-1}(C)$  is closed.

Conversely, suppose the inverse image of any closed subset is closed. Let  $V \subseteq Y$  be open, then by part (a) we have

$$f^{-1}(V) = X \setminus f^{-1}(Y \setminus V).$$

So  $(Y \setminus V) \subseteq Y$  is closed, so  $f^{-1}(Y \setminus V) \subseteq X$  is closed, hence  $f^{-1}(V)$  is open. We conclude that f is continuous.

**Solution 1.18.** Suppose  $f: X \longrightarrow Y$  is continuous. If x is a point in X and N is a neighbourhood of f(x), then N contains some open neighbourhood U of f(x), whose inverse image  $f^{-1}(U)$  is an open neighbourhood of x because of continuity. Since  $f^{-1}(U) \subseteq f^{-1}(N)$ , it follows that  $f^{-1}(N)$  is a neighbourhood of x.

Conversely, suppose  $f: X \longrightarrow Y$  is continuous at every point of X. If U be an open subset of Y, then  $f^{-1}(U)$  is a neighbourhood of every element of itself. By Exercise 1.15, this implies  $f^{-1}(U)$  is open. Hence f is continuous.

**Solution 1.19.** (a) $\Leftrightarrow$ (c): Since  $f^{-1}(S) = S$  for any subset S of X, we have:

 $(\mathcal{T}_2 \text{ is coarser then } \mathcal{T}_1)$  if and only if (if  $U \in \mathcal{T}_2$  then  $U \in \mathcal{T}_1$ ) if and only if (if  $U \in \mathcal{T}_2$  then  $f^{-1}(U) \in \mathcal{T}_1$ ) if and only if (f is continuous).

(a) $\Rightarrow$ (b): trivial, since if  $x \in U_x^2$  and  $U_x^2 \in \mathcal{T}_2 \subseteq \mathcal{T}_1$ , we can take  $U_x^1 = U_x^2$  and we are done.

(b) $\Rightarrow$ (a): Let  $U \in \mathcal{T}_2$ . We use Exercise 1.14 to prove that  $U \in \mathcal{T}_1$ . Let  $x \in U$ , then setting  $U_x^2 = U$  we have that  $U_x^2$  is a  $\mathcal{T}_2$ -open neighbourhood of x, so by (b) there exists a  $cT_1$ -open neighbourhood  $U_x^1$  of x such that  $U_x^1 \subseteq U$ . By Exercise 1.14 we conclude that U is open in the topology  $\mathcal{T}_1$ .

**Solution 1.20.** Let X and Y be topological spaces. Pick a point y in Y and define  $f: X \longrightarrow Y$  to be the constant function sending every element of X to y. If U is an open subset of Y, then

$$f^{-1}(U) = \begin{cases} X & \text{if } y \in U, \\ \emptyset & \text{otherwise.} \end{cases}$$

Hence  $f^{-1}(U)$  is open.

**Solution 1.21.** If U is an open subset of X, then  $\iota^{-1}(U) = U \cap S$ , which is open in S by the definition of the subspace topology. Hence  $\iota$  is continuous.

The identity function is the special case S = X.

**Solution 1.22.** The 'only if' part follows directly from the definition of continuity.

Conversely, suppose that the inverse image of every member of S is open. It follows that the final topology  $\mathcal{T}'_Y$  induced by f (see Tutorial Question 2.7) contains S, and is thus finer than  $\mathcal{T}_Y$  by Tutorial Question 2.4. By part (b) of Tutorial Question 2.7, this implies that f is continuous.

**Solution 1.23.** (a) We start with proving that  $\mathcal{T}_X$  is a topology:

- Since  $\emptyset = f^{-1}(\emptyset)$  and  $X = f^{-1}(Y)$ , it follows that  $\mathcal{T}_X$  contains  $\emptyset$  and X.
- If  $\{f^{-1}(U_i): i \in I\}$  is a collection of members of  $\mathcal{T}_X$ , then

$$\bigcup_{i\in I} f^{-1}(U_i) = f^{-1}(\bigcup_{i\in I} U_i) \in \mathcal{T}_X.$$

• If  $f^{-1}(U_1), \ldots, f^{-1}(U_n)$  are members of  $\mathcal{T}_X$ , then

$$\bigcap_{i=1}^n f^{-1}(U_i) = f^{-1}\Big(\bigcap_{i=1}^n U_i\Big) \in \mathcal{T}_X.$$

If  $\mathcal{T}$  is a topology on X such that f is continuous, then  $f^{-1}(U) \in \mathcal{T}$  for every member U of  $\mathcal{T}_Y$ , and thus  $\mathcal{T}_X \subseteq \mathcal{T}$ . Therefore,  $\mathcal{T}_X$  is the coarsest topology such that f is continuous.

(b) The 'only if' part has been proven in part (a), so it suffices to prove the 'if' part. Suppose  $\mathcal{T}$  is finer than  $\mathcal{T}_X$ . If U is a member of  $\mathcal{T}_Y$ , then  $f^{-1}(U) \in \mathcal{T}_X \subseteq \mathcal{T}$ . Hence f is continuous. (c) Let  $\mathcal{T}_X'$  be the topology on X generated by the set

$$\{f^{-1}(U)\colon U\in S\}.$$

Since the topology  $\mathcal{T}_X$  contains  $f^{-1}(U)$  for every member U of S, it follows from Tutorial Question 2.4 that  $\mathcal{T}'_X \subseteq \mathcal{T}_X$ . By Exercise 1.22, f is continuous when the topology on X is  $\mathcal{T}'_X$ , so part (a) implies that  $\mathcal{T}_X \subseteq \mathcal{T}'_X$ . Hence  $\mathcal{T}'_X = \mathcal{T}_X$ .

**Solution 1.24.** Let  $f: X \times \{y\} \longrightarrow X$  be the map f(x,y) = x and let  $g: X \longrightarrow X \times \{y\}$  be the map g(x) = (x,y). It is clear that g is the inverse of f. Since f is simply the projection onto the first factor of the product, it is continuous by Proposition 2.18. To show that g is continuous, consider a rectangle in  $X \times \{y\}$ : this is either  $\emptyset$  or  $U \times \{y\}$  for some open set  $U \subseteq X$ . Then  $g^{-1}(U \times \{y\}) = U$  is open in X.

#### Solution 1.25.

- (a) We need to check that  $f^{-1}: Y \longrightarrow X$  is continuous; let  $U \subseteq X$  be open, then  $(f^{-1})^{-1}(U) = f(U)$  is open in Y since f is an open map.
- (b) One direction is trivial. For the other direction, we are told that every open subset U of X is of the form

$$U = \bigcup_{i \in I} U_i, \qquad U_1 \in S'.$$

Then

$$f(U) = \bigcup_{i \in I} f(U_i).$$

By assumption each  $f(U_i)$  is open in Y, so their union must also be an open subset.

(c) By part (b) and Example 2.17, we only need to check the open condition on open rectangles  $U_1 \times U_2 \subseteq X_1 \times X_2$ : we have  $\pi_1(U_1 \times U_2) = U_1$ , clearly open in  $X_1$ . Same for  $\pi_2$ .

**Solution 1.26.** Let  $U = X \setminus \{x\}$  and let  $u \in U$ . Then  $u \neq x$ , so by the Hausdorff property of X, there exist open neighbourhoods  $V_1$  of u and  $V_2$  of x such that  $V_1 \cap V_2 = \emptyset$ . In particular,  $x \notin V_1$ , so  $V_1 \subseteq U$ . As we have exhibited an open neighbourhood contained in U around every element of U, we conclude by Exercise 1.14 that U is open, so its complement  $\{x\}$  is closed.

## Interior and closure

**Solution 1.27.** Take  $X = \{0, 1\}$  with the discrete metric, x = 0 and  $\varepsilon = 1$ . Then

$$\overline{\mathbf{B}_1(0)} = \overline{\{0\}} = \{0\} \neq \{0,1\} = \mathbf{D}_1(0).$$

#### Solution 1.28.

(a) Since A and B are closed in X and Y respectively, their complements  $X \setminus A$  and  $Y \setminus B$  are open in X and Y respectively, and therefore  $(X \setminus A) \times Y$  and  $X \times (Y \setminus B)$  are open in  $X \times Y$ . It follows that

$$(X \times Y) \setminus (A \times B) = ((X \setminus A) \times Y) \cup (X \times (Y \setminus B))$$

is closed in  $X \times Y$ .

(b) By part (a),  $\overline{A} \times \overline{B}$  is closed in  $X \times Y$ . Since  $A \times B \subseteq \overline{A} \times \overline{B}$ , it follows that  $\overline{A \times B} \subseteq \overline{A} \times \overline{B}$ . It remains to prove the other inclusion.

Given an element x of A, define  $\iota_x \colon Y \longrightarrow X \times Y$  by  $\iota_x(y) = (x, y)$ . Let  $\pi_X \colon X \times Y \longrightarrow X$  and  $\pi_Y \colon X \times Y \longrightarrow Y$  be the projections. The composite function  $\pi_X \circ \iota_x$  is the constant function sending every element of Y to x, which is continuous by Exercise 1.16; while  $\pi_Y \circ \iota_x$  is the identity function of Y, which is continuous by Exercise 1.21. it then follows from Tutorial Question 3.8 that  $\iota_x$  is continuous.

Since  $\overline{A \times B}$  is closed in  $X \times Y$ , it follows from Exercise 1.17 that  $\iota_x^{-1}(\overline{A \times B})$  is closed. Now  $B \subseteq \iota_x^{-1}(\overline{A \times B})$  implies  $\overline{B} \subseteq \iota_x^{-1}(\overline{A \times B})$ ; in other words,  $\{x\} \times \overline{B} \subseteq \overline{A \times B}$ . Since x is an arbitrary point in A, this implies  $A \times \overline{B} \subseteq \overline{A \times B}$ .

Following similar reasoning for points in  $\overline{B}$ , we can show that  $\overline{A} \times \overline{B} \subseteq \overline{A \times B}$ .

**Solution 1.29.** These are of course not the only possible answers (well, except for the last one).

- (a)  $x \mapsto x$ ;
- (b)  $x \longmapsto e^x$ ;
- (c)  $x \mapsto -e^x$ ;
- (d)  $x \longmapsto -x^2$ ;
- (e)  $x \mapsto \sin(x)$ ;
- (f)  $x \mapsto \min\{e^x, 1\}$ ;
- (g)  $x \mapsto \max\{-e^x, -1\} + 1$ ;
- (h)  $x \longmapsto \arctan(x)$ ;
- (i)  $x \longmapsto 0$ .

**Solution 1.30.** Since  $A^{\circ} \subseteq A$ , we have  $(X \setminus A) \subseteq (X \setminus A^{\circ})$ . But  $A^{\circ}$  is open, so  $X \setminus A^{\circ}$  is a closed set containing  $X \setminus A$ , hence

$$\overline{X \setminus A} \subseteq X \setminus A^{\circ}$$
.

For the opposite inclusion, note that  $(X \setminus A) \subseteq \overline{X \setminus A}$ , so

$$X \setminus \overline{X \setminus A} \subseteq X \setminus (X \setminus A) = A$$
,

therefore  $X \setminus \overline{X \setminus A}$  is an open set contained in A, so that

$$X \smallsetminus \overline{X \smallsetminus A} \subseteq A^{\circ},$$

which implies that  $X \setminus A^{\circ} \subseteq \overline{X \setminus A}$ .

**Solution 1.31.** First we show that  $\overline{\mathbf{Z}} = \mathbf{Z}$ : letting  $U = \mathbf{R} \setminus \mathbf{Z}$ , we have

$$U = \bigcup_{n \in \mathbf{Z}} (n - 1, n),$$

so U is a union of open subsets, hence open.

Now we note that  $\mathbb{Z}^{\circ} = \emptyset$ : if  $V \subseteq \mathbb{R}$  is a nonempty open subset, then V contains a nonempty open interval, hence is uncountable, so it cannot be contained in  $\mathbb{Z}$ .

#### Solution 1.32.

- (a) Let  $N \subseteq X$  be nowhere dense and let  $M \subseteq N$ . Then  $\overline{M} \subseteq \overline{N}$  by part (a) of Tutorial Question 3.1, so  $(\overline{M})^{\circ} \subseteq (\overline{N})^{\circ} = \emptyset$  by part (a) of Tutorial Question 3.1.
- (b) Suppose N is nowhere dense and let  $U \subseteq X$  be nonempty and open. If  $U \cap (X \setminus \overline{N}) = \emptyset$ , then  $U \subseteq \overline{N}$ , so  $U \subseteq (\overline{N})^{\circ} = \emptyset$ , contradicting the non-emptiness of U. So it must be that U intersects  $X \setminus \overline{N}$  nontrivially, hence  $X \setminus \overline{N}$  is dense.
  - Conversely, suppose  $X \setminus \overline{N}$  is dense but N is not nowhere dense, that is there exists a nonempty open  $U \subseteq \overline{N}$ . Then  $U \cap (X \setminus \overline{N}) = \emptyset$ , contradicting the denseness of  $X \setminus \overline{N}$ .
- (c) It suffices to prove the case of two nowhere dense sets M and N. Let  $L = M \cup N$ . Then by part (b) of Tutorial Question 3.1 we have  $\overline{L} = \overline{M} \cup \overline{N}$  so  $X \setminus \overline{L} = (X \setminus \overline{M}) \cap (X \setminus \overline{N})$ . As  $X \setminus \overline{L}$  is the intersection of two dense open subsets, it is dense and open by Tutorial Question 3.2, hence L is nowhere dense.

# METRIC TOPOLOGIES

- **Solution 1.33.** (a) i. Put  $X = \{0,1\}$ ,  $Y = \{1\}$ ,  $\mathcal{T}_Y = \mathcal{P}(Y)$ . Let  $f: X \longrightarrow Y$  be the function sending both 0 and 1 to 1. It follows that  $\mathcal{T}_X = \{\emptyset, \{0,1\}\}$ . The topology  $\mathcal{T}_Y$  is defined by the discrete metric (see Tutorial Question 2.1), but  $\mathcal{T}_X$  is not metrisable (see Tutorial Question 2.3).
  - ii. Put  $X = \{1\}$ ,  $Y = \{0,1\}$ ,  $\mathcal{T}_Y = \{\emptyset, \{1\}, \{0,1\}\}$ . Let  $f: X \longrightarrow Y$  be the inclusion function, which sends 1 to 1. It follows that  $\mathcal{T}_X = \mathcal{P}(X)$ . The topology  $\mathcal{T}_X$  is defined by the discrete metric (see Tutorial Question 2.1), but  $\mathcal{T}_Y$  is not metrisable (see Tutorial Question 2.3).
  - (b) i. Let  $(X, \mathcal{T}_X)$  be the set of real numbers equipped with the Euclidean topology. Put  $Y = \{0, 1\}$ . If  $f: X \longrightarrow Y$  is defined by

$$f(x) = \begin{cases} 1 & \text{if } x > 0, \\ 0 & \text{otherwise,} \end{cases}$$

then  $\mathcal{T}_Y = \{\emptyset, \{1\}, \{0, 1\}\}$ . The topology  $\mathcal{T}_X$  is defined by the Euclidean metric, but  $\mathcal{T}_Y$  is not metrisable (see Tutorial Question 2.3).

ii. Put  $X = \{0,1\}$ ,  $Y = \{1\}$ ,  $\mathcal{T}_X = \{\emptyset, \{1\}, \{0,1\}\}$ . Let  $f: X \longrightarrow Y$  be the function sending both 0 and 1 to 1. It follows that  $\mathcal{T}_Y = \{\emptyset, \{0,1\}\}$ . The topology  $\mathcal{T}_Y$  is defined by the discrete metric (see Tutorial Question 2.1), but  $\mathcal{T}_X$  is not metrisable (see Tutorial Question 2.3).

**Solution 1.34.** Let  $x \in X$ . Given  $\varepsilon > 0$ , if  $x' \in \mathbf{B}_{\varepsilon}(x)$  then  $d_X(x, x') < \varepsilon$ , so

$$d_Y\big(f(x),f(x')\big)=d_X(x,x')<\varepsilon,$$

hence  $f(x') \in \mathbf{B}_{\varepsilon}(f(x))$ .

#### Solution 1.35.

(a) Let  $\mathcal{T}_1$  be the topology defined by  $d_1$ ,  $\mathcal{T}_2$  the topology defined by  $d_2$ . We know that each topology is generated by the corresponding open balls.

Consider an open ball  $\mathbf{B}_r^{d_2}(x)$  of  $\mathcal{T}_2$ . I claim that the open ball  $\mathbf{B}_{r/M}^{d_1}(x)$  of  $\mathcal{T}_1$  is contained in  $\mathbf{B}_r^{d_2}(x)$ : if  $y \in \mathbf{B}_{r/M}^{d_1}(x)$  then  $d_1(x,y) < r/M$ , so that

$$d_2(x,y) \leqslant M d_1(x,y) < r.$$

So  $\mathcal{T}_1$  is finer than  $\mathcal{T}_2$ .

Now consider an open ball  $\mathbf{B}_r^{d_1}(x)$  of  $\mathcal{T}_1$ . I claim that the open ball  $\mathbf{B}_{rm}^{d_2}(x)$  of  $\mathcal{T}_2$  is contained in  $\mathbf{B}_r^{d_1}(x)$ : if  $y \in \mathbf{B}_{rm}^{d_2}(x)$  then  $d_2(x,y) < rm$ , so that

$$d_1(x,y) \le \frac{1}{m} d_2(x,y) < r.$$

So  $\mathcal{T}_2$  is finer than  $\mathcal{T}_1$ , in conclusion  $\mathcal{T}_1 = \mathcal{T}_2$ .

(b) Let  $X = \mathbf{Z}$ . Let  $d_1$  be the discrete metric on  $\mathbf{Z}$ . Let  $d_2$  be the induced Euclidean metric from  $\mathbf{R}$ , that is  $d_2(x,y) = |x-y|$  for all  $x,y \in \mathbf{Z}$ .

First we note that  $d_1$  and  $d_2$  are equivalent metrics. It suffices to show that every singleton  $\{x\} \subseteq \mathbf{Z}$  is open with respect to  $d_2$ :

$$\mathbf{B}_1^{d_2}(x) = \{ y \in \mathbf{Z} \colon |y - x| < 1 \} = \{ y \in \mathbf{Z} \colon x - 1 < y < x + 1 \} = \{ x \}.$$

Suppose that  $d_1$  and  $d_2$  satisfy Equation (1.1) for some m, M > 0. In particular, if  $x \neq y$  we would have

$$m \le |x - y| \le M$$
 for all  $x \ne y \in \mathbf{Z}$ ,

which is blatantly false (take y = 0, x = [M] + 1).

**Solution 1.36.** The inequalities involving  $d_1$  and  $d_{\infty}$  follow simply from

$$\frac{a+b}{2} \leqslant \max\{a,b\} \leqslant a+b \leqslant 2\max\{a,b\},$$

which hold for any  $a, b \in \mathbb{R}_{\geq 0}$ .

The inclusions between open balls now follow by the same reasoning as in part (a) of Exercise 1.35.

#### Solution 1.37.

(a) We have

$$\mathbf{B}_{r}^{X}(y) = \{x \in X : d(x,y) < r\}$$
  
$$\mathbf{B}_{r}^{Y}(y) = \{x \in Y : d(x,y) < r\},$$

so that

$$\mathbf{B}_{r}^{X}(y) \cap Y = \{x \in X : d(x,y) < r\} \cap Y = \{x \in Y : d(x,y) < r\} = \mathbf{B}_{r}^{Y}(y).$$

(b) In one direction, suppose A is open in Y; by Tutorial Question 3.4 we have some indexing set I such that

$$A = \bigcup_{i \in I} \mathbf{B}_{r_i}^Y(a_i),$$

with  $r_i > 0$  and  $a_i \in A$  for all  $i \in I$ . We can then let

$$U = \bigcup_{i \in I} \mathbf{B}_{r_i}^X(a_i),$$

which by Tutorial Question 3.4 is an open in X. It is clear that  $A = U \cap Y$  by part (a). Conversely, suppose  $A = U \cap Y$  with U open in X. Let  $a \in A$ , then  $a \in U$  so there exists an open (in X) ball  $\mathbf{B}_r^X(a)$  such that  $\mathbf{B}_r^X(a) \subseteq U$ . Consider  $\mathbf{B}_r^Y(a) = \mathbf{B}_r^X(a) \cap Y \subseteq U \cap Y = A$ . So every point  $a \in A$  is contained in an open (in Y) ball, hence A is open in Y.

**Solution 1.38.** Let  $\mathcal{T}$  denote the product topology on  $X \times Y$  and  $\mathcal{T}_d$  the topology defined by the metric d.

We start by proving that any open rectangle  $U \times V \in \mathcal{T}$  is also open in  $\mathcal{T}_d$ , which will imply that  $\mathcal{T} \subseteq \mathcal{T}_d$ . Consider an arbitrary element  $(u,v) \in U \times V$ . Since U is open in X, there exists s > 0 such that  $\mathbf{B}_s(u) \subseteq U$ . Similarly, there exists t > 0 such that  $\mathbf{B}_t(v) \subseteq V$ . Let  $r = \min\{s,t\} > 0$ . I claim that the d-open ball  $B := \mathbf{B}_r((u,v)) \subseteq U \times V$ . Why? If  $(x,y) \in B$  then since d is conserving,

$$\max \{d_X(x,u), d_Y(y,v)\} = d_{\infty}((x,y), (u,v)) \leq d((x,y), (u,v)) < r,$$

so  $d_X(x, u) < r \le s$  hence  $x \in U$ , and  $d_Y(y, v) < r \le t$  hence  $y \in V$ .

Now we prove that any d-open ball  $B := \mathbf{B}_{\varepsilon}((x,y))$  is also open in the product topology  $\mathcal{T}$ , which will imply that  $\mathcal{T}_d \subseteq \mathcal{T}$ . Let  $w = (u,v) \in B$ , then there exists r > 0 such that  $\mathbf{B}_r(w) \subseteq B$ . Let  $U_w$  be the  $d_X$ -open ball  $\mathbf{B}_{r/2}(u) \subseteq X$ , and let  $V_w$  be the  $d_Y$ -open ball  $\mathbf{B}_{r/2}(v) \subseteq Y$ . I claim that  $U_w \times V_w \subseteq \mathbf{B}_r(w) \subseteq B$ . Why? If  $(s,t) \in U_w \times V_w$ , since d is conserving,

$$d\big((s,t),(u,v)\big) \leqslant d_X(s,u) + d_Y(t,v) < \frac{r}{2} + \frac{r}{2} = r.$$

**Solution 1.39.** We need to show that d induces the discrete topology on X. It suffices to prove that any singleton  $\{x\} \subseteq X$  is an open set with respect to the metric d.

Fix  $x \in X$ . The set of distances d(x,y) with  $y \neq x$  is finite, so it has a minimum element, which is > 0; call it D, so that  $d(x,y) \leq D$  for all  $y \in X$ . Then  $\mathbf{B}_D(x) = \{x\}$ , which is therefore an open set.

#### Connectedness

**Solution 1.40.** By definition D is a disconnected subset of X if and only if it is a disconnected topological space in the induced topology. The latter is by definition: there exist U', V' open subsets of D such that

$$D = U' \cup V', \qquad U' \cap V' = \emptyset, \qquad U' \neq \emptyset, \qquad V' \neq \emptyset.$$

But U', V' are open in D if and only if there exist open subsets U, V of X such that  $U' = U \cap D$ ,  $V' = V \cap D$ , from which the claim follows.

**Solution 1.41.** It follows from Example 2.28 that X is connected if it is a singleton.

Conversely, if  $x_1 \neq x_2$  are elements of X, then  $\{x_1\}$  and  $X \setminus \{x_1\}$  are two disjoint non-empty open subsets of X such that their union is X, so X is disconnected.

**Solution 1.42.** Let  $f: \bigcup_{n \in \mathbb{N}} C_n \longrightarrow \{0,1\}$  be a continuous function, where  $\{0,1\}$  is given the discrete topology. Pick an element  $x_0$  of  $C_0$ . We use induction to prove that  $f(C_n) = \{f(x_0)\}$  for every natural number n.

The base case when n = 0 follows from the connectedness of  $C_0$  and Proposition 2.31.

For the induction step, suppose the statement is true for a natural number n and consider an element x of  $C_{n+1}$ . Since  $C_n \cap C_{n+1} \neq \emptyset$ , we can pick an element x' of  $C_n \cap C_{n+1}$ . By the induction hypothesis, we have  $f(x') = f(x_0)$ . It then follows from the connectedness of  $C_{n+1}$  and Proposition 2.31 that  $f(x) = f(x') = f(x_0)$ .

Hence f is constant, which implies that  $\bigcup_{n \in \mathbb{N}} C_n$  is connected.

#### Solution 1.43. Let $x \in D$ .

Let  $f: X \longrightarrow \{0,1\}$  be a continuous function, where  $\{0,1\}$  is given the discrete topology. Since f is continuous, it follows from Exercise 1.17 that  $f^{-1}(f(x))$  is closed. By

Proposition 2.31, the restriction of f to D is constant, so  $D \subseteq f^{-1}(f(x))$ , and therefore  $X = \overline{D} \subseteq f^{-1}(f(x))$ . Hence f is constant, which implies that X is connected.

(Alternative solution): Suppose X is disconnected, so  $X = U \cup V$  with U, V open, non-empty, and disjoint. Then  $D \subseteq U \cup V$  with  $D \cap U \neq \emptyset$ ,  $D \cap V \neq \emptyset$  (because D is dense), and of course  $D \cap U \cap V = \emptyset$ , implying that D is a disconnected subset of X by Exercise 1.40.

**Solution 1.44.** Let  $f: A \cup \bigcup_{i \in I} C_i \longrightarrow \{0,1\}$  be a continuous function, where  $\{0,1\}$  is given the discrete topology. Pick an element a of A and consider an arbitrary element x of  $A \cup \bigcup_{i \in I} C_i$ . If  $x \in A$ , then the connectedness of A and Proposition 2.31 imply f(x) = f(a). If  $x \in C_i$  for some  $i \in I$ , then it follows from Tutorial Question 3.6 and Proposition 2.31 that f(x) = f(a). Hence f is constant, which implies  $A \cup \bigcup_{i \in I} C_i$  is connected.

**Solution 1.45.** Suppose  $X \times Y$  is connected. Recall from Proposition 2.18 that the projections  $\pi_X \colon X \times Y \longrightarrow X$  and  $\pi_Y \colon X \times Y \longrightarrow Y$  are continuous. It then follows from Proposition 2.32 that  $X = \pi_X(X \times Y)$  and  $Y = \pi_Y(X \times Y)$  are connected.

Conversely, suppose that both X and Y are connected. Let  $f: X \times Y \longrightarrow \{0,1\}$  be a continuous function, where  $\{0,1\}$  is given the discrete topology. Consider two elements  $(x_1,y_1)$  and  $(x_2,y_2)$  of  $X \times Y$ . It follows from Exercise 1.24 that  $\{x_1\} \times Y$  is homeomorphic to Y, and is therefore connected. This implies that f is constant when restricted to  $\{x_1\} \times Y$ . Similarly, f is constant when restricted to  $X \times \{y_2\}$  because Y is connected. Hence

$$f(x_1, y_1) = f(x_1, y_2) = f(x_2, y_2),$$

and therefore  $X \times Y$  is connected.

**Solution 1.46.** Let X be a totally separated space and let S be a subset of X with two distinct points x and y. It follows from total separatedness that there exists disjoint clopen neighbourhoods U and V of x and y respectively. Since U is clopen and does not contain y, it follows that  $X \setminus U$  is a clopen neighbourhood of y. Moreover,  $S \cap U$  and  $S \cap (X \setminus U)$  are two disjoint open sets in S such that their union is S. Hence S is not connected, and therefore the only connected subsets of X are the singletons; in other words, X is totally disconnected.

Solution 1.47. We will prove all of them are totally separated, which implies total disconnectedness by Exercise 1.46.

- (a) Let x and y be two distinct rational number. Without loss of generality, we assume that x < y. The denseness of  $\mathbf{R} \setminus \mathbf{Q}$  (see Example 2.23) implies that there exists an irrational number z such that x < z < y. The open sets  $\mathbf{Q} \cap (-\infty, z)$  and  $\mathbf{Q} \cap (z, \infty)$  are open in  $\mathbf{Q}$ , and their intersection is empty while their union is  $\mathbf{Q}$ , so  $\mathbf{Q} \cap (-\infty, z)$  is an clopen neighbourhood of x in  $\mathbf{Q}$  and  $\mathbf{Q} \cap (z, \infty)$  is a clopen neighbourhood of y. Hence  $\mathbf{Q}$  is totally disconnected when equipped with the Euclidean topology.
- (b) Let X be a discrete space and let x and y be two points in X. It follows from the definition of the discrete topology that  $\{x\}$  and  $\{y\}$  are clopen, so they are disjoint clopen neighbourhoods of x and y respective. Hence X is totally separated.

#### Compactness

**Solution 1.48.** Suppose X is compact and  $\{C_i : i \in I\}$  is a collection of closed sets with the finite intersection property. Suppose that

$$\bigcap_{i\in I} C_i = \varnothing.$$

Then

$$X = \bigcup_{i \in I} U_i$$
, where  $U_i := X \setminus C_i$ ,

is an open covering of X. Since X is compact, there exists a finite subset  $J \subseteq I$  such that

$$X = \bigcup_{j \in J} U_j,$$

which implies that

$$\bigcap_{j\in J} C_j = \emptyset,$$

contradicting the finite intersection property of the collection  $\{C_i : i \in I\}$ .

Conversely, suppose every collection of closed sets of X with the finite intersection property has nonempty intersection. Suppose that X is not compact, so there exists an open cover of X:

$$X = \bigcup_{i \in I} U_i$$

with no finite subcover.

For each  $i \in I$ , let  $C_i = X \setminus U_i$ . Then for every finite  $J \subseteq I$ ,  $\{U_i : i \in J\}$  is not a cover of X, which means that the collection  $\{C_i : i \in J\}$  has nonempty intersection. Hence the collection  $\{C_i : i \in I\}$  has the finite intersection property, but note that the collection itself has empty intersection, since  $\{U_i : i \in I\}$  is a cover of X, so we have reached a contradiction.

#### Solution 1.49.

- (a) We know that  $t \mapsto 2\pi t$ ,  $t \mapsto \cos(t)$  and  $t \mapsto \sin(t)$  are continuous, so by Tutorial Question 3.8 so is f.
- (b) Suppose  $t_1 \neq t_2 \in [0,1)$  are such that  $f(t_1) = f(t_2)$ . Then  $\cos(2\pi t_1) = \cos(2\pi t_2)$ , which implies that  $t_2 = 1 t_1$ . In that case  $\sin(2\pi t_2) = \sin(2\pi 2\pi t_1) = \sin(-2\pi t_1) = -\sin(2\pi t_1)$ . But we also have  $\sin(2\pi t_2) = \sin(2\pi t_1)$ , so  $\sin(2\pi t_1) = 0$ , hence  $t_1 = 0$  and  $t_2 = 1 t_1 = 1$ , contradicting  $t_2 \in [0,1)$ .

We conclude that f is injective.

For surjectivity, let  $(x,y) \in \mathbf{S}^1$ , in other words  $x^2 + y^2 = 1$ . Define  $\theta \in [0,2\pi)$  by

$$\theta = \begin{cases} \arccos(x) & \text{if } y \ge 0 \\ 2\pi - \arccos(x) & \text{if } y < 0. \end{cases}$$

Letting  $t = \theta/(2\pi)$ , we have f(t) = (x, y).

(c) At this point we know that f is a homeomorphism iff  $f^{-1} : \mathbf{S}^1 \longrightarrow [0,1)$  is continuous. Note that  $\mathbf{S}^1 \subseteq \mathbf{R}^2$  is compact: it is clearly bounded as any two points are at distance at most 2 of each other, so we just need to check that it is a closed subset of  $\mathbf{R}^2$ .

But  $S^1 = D_1((0,0)) \cap C$  is the intersection of two closed sets, where

$$C = \{x, y \in \mathbf{R} : x^2 + y^2 \ge 1\} = \mathbf{R}^2 \setminus \mathbf{B}_1((0, 0)).$$

Since  $S^1$  is compact, if  $f^{-1}$  were continuous then  $[0,1) = f^{-1}(S^1)$  would be compact, hence closed in  $\mathbb{R}$ . This is a contradiction, because 1 is an accumulation point of [0,1) but does not lie in the set.

**Solution 1.50.** Y is not compact since it is not closed in  $\mathbb{R}^2$ , for instance the point (0,1) is in the closure of Y but not in Y. On the other hand, Z is compact since it is closed and bounded in  $\mathbb{R}^2$ . Similarly, X is compact.

So Y and Z are not homeomorphic, and X and Y are not homeomorphic.

Suppose  $f: X \longrightarrow Z$  is a homeomorphism. Let  $x \in X^{\circ}$ , then  $f(x) \in Z^{\circ}$ . The restriction of f to  $X \setminus \{x\} \longrightarrow Z \setminus \{f(x)\}$  is then also a homeomorphism, but this is impossible since  $X \setminus \{x\} = [-1, x) \cup (x, 1]$  is disconnected, while  $Z \setminus \{f(x)\}$  is connected.

#### Solution 1.51.

- (a) No: removing an interior point of [0,1] gives a disconnected set, but removing any point from the unit circle gives a set that is connected.
- (b) No: [0,1] is compact, being closed and bounded in  $\mathbf{R}$ , while (0,1) is not compact, since it is not closed in  $\mathbf{R}$ .
- (c) Yes:  $f: [0,1] \longrightarrow [0,2]$  given by f(x) = 2x is clearly a homeomorphism.

Solution 1.52. TODO: maybe include a direct proof of this first direction as an alternative? Suppose K is compact as a topological space with the subspace topology from X. Let  $\iota \colon K \longrightarrow X$  be the inclusion function, which is continuous by Exercise 1.21. It then follows from Proposition 2.39 that  $\iota(K)$  is a compact subset of X.

Conversely, suppose K is a compact subset of X. Let  $\{U_i : i \in I\}$  be an open cover of K in the subspace K. By the definition of the subspace topology, for every  $U_i$  there exists an open subset  $V_i$  of X such that  $U_i = K_i \cap K$ . Since  $\{U_i : i \in I\}$  is an open cover of K in the subspace K, it follows that  $\{V_i \in i \in I\}$  is an open cover of K in X. The compactness of K as a subset of X then implies there exists a finite subset I of I such that  $K \subseteq \bigcup_{j \in J} V_j$ , and therefore

$$K = K \cap \left(\bigcup_{j \in J} V_j\right) = \bigcup_{j \in J} (K \cap V_j) = \bigcup_{j \in J} U_j.$$

Hence  $\{U_i: i \in I\}$  has a finite sub-cover, which implies K is compact as a subspace of X.

# SEQUENCES

**Solution 1.53.** The reflexivity  $(x_n) \sim (x_n)$  and symmetry  $(x_n) \sim (y_n) \iff (y_n) \sim (x_n)$  are very clear. For the transitivity, suppose  $(x_n) \sim (y_n)$  and  $(y_n) \sim (z_n)$ . Let  $\varepsilon > 0$ . There exists  $N_1 \in \mathbb{N}$  such that  $d(x_n, y_n) < \varepsilon/2$  for all  $n \ge N_1$ . There exists  $N_2 \in \mathbb{N}$  such that  $d(y_n, z_n) < \varepsilon/2$  for all  $n \ge N_2$ . Letting  $N = \max\{N_1, N_2\}$  we have (by the triangle inequality)

$$d(x_n, z_n) \le d(x_n, y_n) + d(y_n, z_n) < \varepsilon$$
 for all  $n \ge N$ .

So 
$$(x_n) \sim (z_n)$$
.

**Solution 1.54.** Suppose x and x' are two limits of a sequence  $(x_n)$ . For any  $\varepsilon > 0$ , there exist  $N, N' \in \mathbb{N}$  such that

$$x_n \in \mathbf{B}_{\varepsilon/2}(x)$$
 for all  $n \ge N$  and  $x_n \in \mathbf{B}_{\varepsilon/2}(x')$  for all  $n \ge N'$ .

Therefore, for  $n = \max\{N, N'\}$  we have  $x_n \in \mathbf{B}_{\varepsilon/2}(x) \cap \mathbf{B}_{\varepsilon/2}(x')$ , which (via the triangle inequality) implies that  $d(x, x') < \varepsilon$ .

Since this holds for all  $\varepsilon > 0$ , we conclude that d(x, x') = 0 so that x = x'.

#### Solution 1.55.

(a) It is clear that  $\emptyset$  and  $\mathbf{N}^*$  belong to  $\mathcal{T}$ .

Suppose  $\{U_i: i \in I\}$  is a collection of members of  $\mathcal{T}$ . If  $\{U_i: i \in I\} \subseteq \mathcal{P}(\mathbf{N})$ , then  $\bigcup_{i \in I} U_i \in \mathcal{P}(\mathbf{N}) \subseteq \mathcal{T}$ . Otherwise, there exists a member V of  $\{U_i: i \in I\}$  such that  $\infty \in V$ . It then follows from

$$\mathbf{N}^* \setminus \left(\bigcup_{i \in I} U_i\right) \subseteq \mathbf{N}^* \setminus V$$

that  $\mathbf{N}^* \setminus \left(\bigcup_{i \in I} U_i\right)$  is finite, and therefore  $\bigcup_{i \in I} U_i \in \mathcal{T}$ .

For closure under finite intersection, it suffices to prove it for any two members U and V of  $\mathcal{T}$ . If at most one of U and V contains  $\infty$ , then  $U \cap V \in \mathcal{P}(\mathbf{N})$ . Otherwise, it then follows from

$$\mathbf{N}^* \setminus (U \cap V) = (\mathbf{N}^* \setminus U) \cup (\mathbf{N}^* \setminus V)$$

that  $\mathbf{N}^* \setminus (U \cap V)$  is finite, and therefore  $U \cap V \in \mathcal{T}$ .

- (b) Let  $\{U_i : i \in I\}$  be an open cover of  $\mathbb{N}^*$ . Pick a member V of the open cover such that  $\infty \in V$ . Since  $V \in \mathcal{T}$ , it follows that  $\mathbb{N}^* \setminus V$  is finite. For each element x of  $\mathbb{N}^* \setminus V$ , pick a member  $V_x$  of the open cover such that  $x \in V_x$ . It follows that  $\{V\} \cup \{V_x : x \in \mathbb{N}^* \setminus V\}$  is a finite sub-cover of  $\{U_i \in i \in I\}$ . Hence  $\mathbb{N}^*$  is compact.
- (c) Suppose f is continuous. It follows that for every positive real number  $\varepsilon$ , the inverse image  $f^{-1}(\mathbf{B}_{\varepsilon}(f(\infty)))$  is open, and therefore  $\mathbf{N}^* \setminus f^{-1}(\mathbf{B}_{\varepsilon}(f(\infty)))$  is finite. Hence there exists a natural number N such that  $n \ge N$  implies  $f(n) \in \mathbf{B}_{\varepsilon}(f(\infty))$ .

Conversely, suppose (f(n)) converges to  $f(\infty)$ . The space **N** is discrete as a subspace of **N**\*, so  $f|_{\mathbf{N}}$  is continuous; this implies f is continuous at every natural number by Exercise 1.18. To apply Exercise 1.18, it remains to prove f is continuous at  $\infty$ . Let M be a neighbourhood of  $\infty$  and pick a positive real number  $\varepsilon$  such that  $\mathbf{B}_{\varepsilon}(f(\infty)) \subseteq M$ . Since  $f(n) \longrightarrow f(\infty)$  as  $n \longrightarrow \infty$ , there exists a natural number N such that  $n \ge N$  implies  $f(n) \in \mathbf{B}_{\varepsilon}(f(\infty))$ . This implies

$$\mathbf{N}^* \setminus f^{-1}(\mathbf{B}_{\varepsilon}(f(\infty))) \subseteq \{1, \dots, N\},$$

so  $f^{-1}(\mathbf{B}_{\varepsilon}(f(\infty)))$  is open. Since  $f^{-1}(\mathbf{B}_{\varepsilon}(f(\infty))) \subseteq f^{-1}(M)$ , it follows that  $f^{-1}(M)$  is a neighbourhood of  $\infty$ , so f is continuous at  $\infty$ . Now apply Exercise 1.18 to f, we see that f is continuous.

(d) Define a function  $f: \mathbb{N}^* \longrightarrow X$  by

$$f(n) = \begin{cases} x_n & \text{if } n \in \mathbf{N}, \\ x & \text{otherwise.} \end{cases}$$

By part (c), f is continuous, so it follows from Proposition 2.39 that

$$\{x\} \cup \{x_n \colon n \in \mathbf{N}\} = f(\mathbf{N}^*)$$

is compact.

**Solution 1.56.** First note that for any n, m we have by the triangle inequality:

$$d(x_n, y_n) \le d(x_n, x_m) + d(x_m, y_n) \le d(x_n, x_m) + d(x_m, y_m) + d(y_m, y_n),$$

so

$$d(x_n, y_n) - d(x_m, y_m) \le d(x_n, x_m) + d(y_m, y_n).$$

Similarly:

$$d(x_m, y_m) \le d(x_m, x_n) + d(x_n, y_n) + d(y_n, y_m)$$

so that

$$-(d(x_m, x_n) + d(y_n, y_m)) \leq d(x_n, y_n) - d(x_m, y_m).$$

We can summarise this as

$$|d(x_n, y_n) - d(x_m, y_m)| \le d(x_m, x_n) + d(y_n, y_m).$$

Let  $\varepsilon > 0$ . There exists  $N_1 \in \mathbf{N}$  such that  $d(x_n, x_m) < \varepsilon/2$  for all  $m, n \ge N_1$ . There exists  $N_2 \in \mathbf{N}$  such that  $d(y_n, y_m) < \varepsilon/2$  for all  $m, n \ge N_2$ . Let  $N = \max\{N_1, N_2\}$ , then for all  $n, m \ge N$  we have:

$$|d(x_n, y_n) - d(x_m, y_m)| \le d(x_n, x_m) + d(y_m, y_n) < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

So  $(d(x_n, y_n))$  is a Cauchy sequence in **R**.

**Solution 1.57.** It suffices to prove that  $(x_n)$  being Cauchy implies  $(y_n)$  is Cauchy.

Let  $\varepsilon > 0$ . As  $(y_n) \sim (x_n)$ , there exists  $N_1 \in \mathbb{N}$  such that  $d(y_n, x_n) < \varepsilon/3$  for all  $n \ge N_1$ . As  $(x_n)$  is Cauchy, there exists  $N_2 \in \mathbb{N}$  such that  $d(x_n, x_m) < \varepsilon/3$  for all  $n, m \ge N_2$ . Let  $N = \max\{N_1, N_2\}$ , then for all  $n, m \ge N$  we have

$$d(y_n, y_m) \leq d(y_n, x_n) + d(x_n, x_m) + d(x_m, y_m) < \varepsilon.$$

# Uniform continuity and completeness

#### Solution 1.58.

- (a) Let  $f', g' \colon \overline{D} \to X$  denote the restrictions of f and g to  $\overline{D}$  respectively. Since D is dense in  $\overline{D}$ , and the functions f' and g' agree on D, property H implies that f' = g'. Hence the result follows.
- (b) Let X be a topological space, Y a Hausdorff topological space, D a dense subset of Y. Consider two continuous functions  $f, g: Y \longrightarrow X$  that agree on D.

Let  $(f,g): Y \longrightarrow X \times X$  be the function defined by (f,g)(y) = (f(y),g(y)) (see Tutorial Question 3.8). Since both f and g are continuous, it follows from Tutorial Question 3.8 that (f,g) is continuous.

Let  $\Delta$  denote the diagonal function of X, defined in Tutorial Question 3.9. By part (c) of Tutorial Question 3.9, the Hausdorffness of X implies that  $\Delta(X)$  is closed in  $X \times X$ . It then follows from Exercise 1.17 that  $(f,g)^{-1}(\Delta(X))$  is closed.

Since f(y) = g(y) for every element of y of D, it follows that  $(f,g)(D) \subseteq \Delta(X)$ , and thus  $D \subseteq (f,g)^{-1}(\Delta(X))$ . However,  $(f,g)^{-1}(\Delta(X))$  is closed, so it contains the closure Y of D. This implies that  $(f(y),g(y)) \in \Delta(X)$  for every element y of Y; in other words, f(y) = g(y) for every element y of Y.

(c) In part (b), we have shown that Hausdorffness implies property H, so it suffices to prove the other direction.

Let X be a topological space with property H and  $\Delta$  the diagonal function of X, defined in Tutorial Question 3.9. Define two projections  $\pi_1: X \times X \longrightarrow X$  and  $\pi_2: X \times X \longrightarrow X$ by  $\pi_1(x,y) = x$  and  $\pi_2(x,y) = y$ . It follows from Proposition 2.18 that  $\pi_1$  and  $\pi_2$  are both continuous. The projections  $\pi_1$  and  $\pi_2$  agree on  $\Delta(X)$  by definition, so they agree on the closure  $\overline{\Delta(X)}$  of  $\Delta(X)$  by part (a). Therefore, if  $(x,y) \in \overline{\Delta(X)}$ , then

$$x = \pi_1(x, y) = \pi_2(x, y) = y,$$

so  $(x,y) \in \Delta(X)$ . It follows that  $\overline{\Delta(X)} = \Delta(X)$ .

(d) Follows immediately from the above.

**Solution 1.59.** (a) Let  $\varepsilon$  be a positive real number. Put  $\delta = \varepsilon$ . If elements  $x_1$  and  $x_2$  of S satisfy  $d_S(x_1, x_2) < \delta$ , then

$$d_X(\iota_S(x_1), \iota_S(x_2)) = d_S(x_1, x_2) < \varepsilon.$$

Hence  $\iota_S$  is uniformly continous.

(b) If f is uniformly continuous, then  $\iota_S \circ f$  is uniformly continuous because of part (a) and Tutorial Question 5.2.

Conversely, suppose  $\iota_S \circ f$  is uniformly continuous. Let  $\varepsilon$  be a positive real number. Pick a positive real number  $\delta$  such that  $d_Y(y_1, y_2) < \delta$  implies  $d_X((\iota_S \circ f)(y_1), (\iota_S \circ f)(y_2)) < \varepsilon$ . It follows that  $d_Y(y_1, y_2) < \delta$  implies

$$d_S(f(y_1), f(y_2)) = d_X((\iota_S \circ f)(y_1), (\iota_S \circ f)(y_2)) < \varepsilon.$$

Hence f is uniformly continuous.

**Solution 1.60.** (a) If  $\varepsilon$  is a positive real number, then  $d(y_1, z_1), (y_2, z_2) < \varepsilon$  implies

$$d_Y(\pi_Y(y_1, z_1), \pi_Y(y_2, z_2)) = d_Y(y_1, y_2) \le d((y_1, z_1), (y_2, z_2)) < \varepsilon$$

and similarly  $d(\pi_Z(y_1, z_1), \pi_Z(y_2, z_2)) < \varepsilon$ . Hence  $\pi_Y$  and  $\pi_Z$  are uniformly continuous.

(b) If f is uniformly continuous, then it follows from Tutorial Question 5.2 and part (a) that both  $\pi_Y \circ f$  and  $\pi_Z \circ f$  are uniformly continuous.

Conversely, suppose both  $\pi_Y \circ f$  and  $\pi_Z \circ f$  are uniformly continuous. Let  $\varepsilon$  be a positive real number. It follows from the uniform continuity of  $\pi_Y \circ f$  and  $\pi_Z \circ f$  that there exist positive real numbers  $\delta_Y$  resp.  $\delta_Z$  such that  $d_X(x_1, x_2) < \delta_Y$ , resp.  $d_X(x_1, x_2) < \delta_Z$  imply

$$d_Y((\pi_Y \circ f)(x_1), (\pi_Y \circ f)(x_2)) < \varepsilon/2$$
 resp.  $d_Z((\pi_Z \circ f)(x_1), (\pi_Z \circ f)(x_2)) < \varepsilon/2$ .

Let  $\delta = \min\{\delta_Y, \delta_Z\}$ . It follows that  $d_X(x_1, x_2) < \delta$  implies

$$d(f(x_1), f(x_2)) \leq d_Y((\pi_Y \circ f)(x_1), (\pi_Y \circ f)(x_2)) + d_Z((\pi_Z \circ f)(x_1), (\pi_Z \circ f)(x_2)) < \varepsilon,$$

so f is uniformly continuous.

**Solution 1.61.** Let  $g: Y \longrightarrow X$  denote the inverse of f.

- (a) By Proposition 2.52,  $(x_n)$  being Cauchy implies  $(f(x_n))$  is Cauchy, while  $(f((x_n)))$  being Cauchy implies  $(x_n) = (g(f(x_n)))$  is Cauchy.
- (b) Since X and Y are interchangeable, it suffices to prove one direction. Suppose X is complete and  $(y_n)$  is a Cauchy sequence in Y. It follows that  $(g(y_n))$  is Cauchy in X, and therefore converges to some point x in X By Theorem 2.44,  $(y_n) = (f(g(y_n)))$  converges to f(x). Hence Y is complete.

(c) Since f has an inverse  $\tan: (-\pi/2, \pi/2) \longrightarrow \mathbf{R}$ , and both f and  $\tan$  are continuous. Hence f is a homeomorphism.

Given  $x_1 < x_2$ , apply the Mean Value Theorem to  $f(x) = \arctan(x)$  on  $[x_1, x_2]$  to get some  $\xi \in (x_1, x_2)$  such that

$$|f(x_2) - f(x_1)| = |f'(\xi)| |x_2 - x_1| = \frac{1}{1 + \xi^2} |x_2 - x_1| \le |x_2 - x_1|.$$

So for any  $\varepsilon > 0$  we can take  $\delta = \varepsilon$  and conclude that f is uniformly continuous.

However, its inverse tan:  $(-\pi/2, \pi/2) \longrightarrow \mathbf{R}$  is not uniformly continuous, because  $(-\pi/2, \pi/2)$  is totally bounded (since bounded in  $\mathbf{R}$ ), but  $\mathbf{R}$  is not totally bounded. (Use ??.)

(d) The codomain  $(-\pi/2, \pi/2)$  is not complete because  $(\pi/2 - 1/n)$  is Cauchy but does not converge in  $(-\pi/2, \pi/2)$ . However, the domain **R** is complete.

#### Solution 1.62.

(a) Induction on n. Base case  $x_1 = 1$  clear.

Fix  $n \in \mathbb{N}$  and suppose  $1 \leq x_n \leq 2$ . Then

$$\frac{1}{2} \leqslant \frac{x_n}{2} \leqslant 1$$
 and  $\frac{1}{2} \leqslant \frac{1}{x_n} \leqslant 1$ ,

so  $1 \le x_{n+1} \le 2$ .

(b) Fix  $n \in \mathbb{N}$ . Noting that  $2x_nx_{n+1} = x_n^2 + 2$ , we have

$$y_n^2 = (x_{n+1} - x_n)^2 = x_{n+1}^2 - 2x_{n+1}x_n + x_n^2 = x_{n+1}^2 - 2x_{n+1}y_{n+1} = 2x_{n+1}\left(\frac{1}{x_{n+1}} - \frac{x_{n+1}}{2}\right) = 2 - x_{n+1}^2 = -y_n^2.$$

(c) From part (b) we have

$$|y_{n+1}| = \frac{|y_n|^2}{2x_{n+1}}$$
 for all  $n \in \mathbb{N}$ .

We can use this, part (a), and induction by n.

For the base case we have  $y_1 = \frac{1}{2}$ .

For the induction step, fix  $n \in \mathbb{N}$  and suppose  $|y_n| \leq \frac{1}{2^n}$ , then

$$|y_{n+1}| = \frac{|y_n|^2}{2x_{n+1}} \le \frac{|y_n|^2}{2} \le \frac{1}{2^{2n+1}} \le \frac{1}{2^{n+1}}.$$

(d) Let  $\varepsilon > 0$  and let  $N \in \mathbb{N}$  be such that  $2^{N-1} > 1/\varepsilon$ . If  $n \ge m \ge N$  then

$$\begin{aligned} |x_n - x_m| &= |y_{n-1} + y_{n-2} + \dots + y_m| \\ &\leq |y_{n-1}| + \dots + |y_m| \\ &\leq \frac{1}{2^{n-1}} + \dots + \frac{1}{2^m} \\ &= \left(\frac{1}{2^{n-m-1}} + \frac{1}{2^{n-m-2}} + \dots + 1\right) \frac{1}{2^m} \\ &\leq \frac{2}{2^m} \leqslant \frac{1}{2^N} < \varepsilon. \end{aligned}$$

Here we used the fact that the geometric series with ratio 1/2 sums up to 2.

(e) Thinking of  $(x_n)$  as a sequence in  $\mathbf{R}$ , it converges to some limit  $x \in \mathbf{R}$  by the completeness of  $\mathbf{R}$ . We can therefore take limits as  $n \longrightarrow \infty$  on both sides of the defining relation

$$x_{n+1} = \frac{x_n}{2} + \frac{1}{x_n} \quad \text{for } n \in \mathbf{N}$$

to get

$$x = \frac{x}{2} + \frac{1}{x} \Rightarrow x^2 = 2.$$

Throwing in the fact that  $x \ge 1$ , we conclude that  $x = \sqrt{2}$ .

The conclusion that **Q** is not complete now follows from the fact that  $\sqrt{2} \notin \mathbf{Q}$ .

#### Solution 1.63.

(a) Suppose  $((x_n, y_n))$  is a Cauchy sequence in  $(X \times Y, d)$ . By part (a) of Exercise 1.60, both projections  $\pi_X \colon X \times Y \longrightarrow X$  and  $\pi_Y \colon X \times Y \longrightarrow Y$  are uniformly continuous. Hence  $(x_n) = (\pi_X(x_n, y_n))$  and  $(y_n) = (\pi_Y(x_n, y_n))$  are Cauchy because of Proposition 2.52.

Conversely, suppose  $(x_n)$  is Cauchy in X and  $(y_n)$  is Cauchy in Y. Fix  $\varepsilon > 0$ . Let  $N_x \in \mathbb{N}$  be such that for all  $m, n \ge N_x$  we have  $d_X(x_m, x_n) < \varepsilon$ . Let  $N_y \in \mathbb{N}$  be such that for all  $m, n \ge N_y$  we have  $d_Y(y_m, y_n) < \varepsilon$ . Let  $N = \max\{N_x, N_y\}$ , then for all  $m, n \ge N$  we have

$$d((x_m, y_m), (x_n, y_n)) = \max \{d_X(x_m, x_n), d_Y(y_m, y_n)\} < \varepsilon,$$

so  $((x_n, y_n))$  is Cauchy in  $X \times Y$ .

(b) Let  $((x_n, y_n))$  be a Cauchy sequence in  $X \times Y$ . By part (a),  $(x_n)$  is Cauchy in X and  $(y_n)$  is Cauchy in Y. Since X and Y are complete, we have  $(x_n) \longrightarrow x \in X$  and  $(y_n) \longrightarrow y \in Y$ . By Tutorial Question 4.9,  $((x_n, y_n)) \longrightarrow (x, y) \in X \times Y$ .

The converse also holds: suppose  $X \times Y$  is complete. Let  $(x_n)$  be a Cauchy sequence in X, and fix some  $y \in Y$ . Then by (a) we have that  $((x_n, y))$  is Cauchy in  $X \times Y$ , so  $((x_n, y)) \longrightarrow (x, y) \in X \times Y$ , which by Tutorial Question 4.9 implies that  $(x_n) \longrightarrow x \in X$ . The same proof gives us that Y is complete.

#### Solution 1.64.

(a) Let  $\varepsilon > 0$ . Set  $\delta = \varepsilon$ . If  $x, x' \in X$  satisfy  $d(x, x') < \delta = \varepsilon$ , then

$$|f(x) - f(x')| = |d(x,y) - d(x',y)| \le d(x,x') < \varepsilon.$$

(b) Let  $\varepsilon > 0$ . By part (a), there exists positive real numbers  $\delta_1$  and  $\delta_2$  such that  $d(x_1, x_1') < \delta_1$  and  $d(x_2, x_2') < \delta_2$  imply

$$d_{\mathbf{R}}(d(x_1, x_2), d(x_1', x_2)) < \varepsilon/2$$
 and  $d_{\mathbf{R}}(d(x_1', x_2), d(x_1', x_2')) < \varepsilon/2$ .

Set  $\delta = \min\{\delta_1, \delta_2\}$ . If  $(x_1, x_2), (x'_1, x'_2) \in X \times X$  satisfy

$$\max\{d(x_1, x_1'), d(x_2, x_2')\} = D((x_1, x_2), (x_1', x_2')) < \varepsilon$$

then

$$d_{\mathbf{R}}(d(x_1, x_2), d(x_1', x_2')) \le d_{\mathbf{R}}(d(x_1, x_2), d(x_1', x_2)) + d_{\mathbf{R}}(d(x_1', x_2), d(x_1', x_2')) < \varepsilon.$$

Hence d is uniformly continuous.

**Solution 1.65.** This uses the same approach as Proposition 2.48: we have

$$|d(x'_n, y'_n) - d(x_n, y_n)| \le d(x'_n, x_n) + d(y'_n, y_n).$$

But by assumption the two distances on the RHS can be made arbitrarily small, so we conclude that  $d(x'_n, y'_n)$  and  $d(x_n, y_n)$  can be made arbitrarily close, hence they have the same limit.

(This explanation shouldn't keep you from writing a more rigorous proof.)

**Solution 1.66.** Suppose that a continuous extension  $\widehat{f}: \mathbf{R}_{\geq 0} \longrightarrow \mathbf{R}_{\geq 0}$  exists. Consider the sequence  $(x_n) = \left(\frac{1}{n}\right) \longrightarrow 0 \in \mathbf{R}_{\geq 0}$ . By continuity of  $\widehat{f}$  we must have

$$\widehat{f}(0) = \widehat{f}\left(\lim_{n \to \infty} \frac{1}{n}\right) = \lim_{n \to \infty} \widehat{f}\left(\frac{1}{n}\right) = \lim_{n \to \infty} f\left(\frac{1}{n}\right) = \lim_{n \to \infty} n.$$

But the rightmost limit does not exist (in  $\mathbb{R}_{\geq 0}$ ), contradiction.

# 2. Normed and Hilbert spaces

# A. Appendix: Prerequisites

# EQUIVALENCE RELATIONS

**Solution A.1.** • Given  $x \in A$ , we have f(x) = f(x) so  $x \sim x$ .

- If  $x \sim y$ , then f(x) = f(y), so f(y) = f(x), that is  $y \sim x$ .
- If  $x \sim y$  and  $y \sim z$  then f(x) = f(y) and f(y) = f(z), so that f(x) = f(z), that is  $x \sim z$ .

**Solution A.2.** Suppose  $\pi$  is bijective. I claim that the only way  $x \sim y$  can happen is if x = y: if  $x \sim y$  then  $\pi(x) = \pi(y)$ , but  $\pi$  is bijective so x = y.

We conclude that the equivalence relation on A must be given by:  $x \sim y$  if and only if x = y.

**Solution A.3.** (a) We check the equivalence relation conditions:

- Given  $(a, b) \in \mathbb{N} \times \mathbb{N}$ , we have a + b = b + a so  $(a, b) \sim (a, b)$ .
- If  $(a,b) \sim (c,d)$  then a+d=b+c, so c+b=d+a, that is  $(c,d) \sim (a,b)$ .
- If  $(a,b) \sim (c,d)$  and  $(c,d) \sim (x,y)$  then a+d=b+c and c+y=d+x. Adding these two equalities gives a+d+c+y=b+c+d+x, and cancelling out c+d on both sides we get a+y=b+x, that is  $(a,b) \sim (x,y)$ .
- (b) Define  $g: (A/\sim) \longrightarrow \mathbf{Z}$  by g([(a,b)]) = b-a. We first need to make sure that this is a well-defined function, in other words that the value does not depend on the chosen representative (a,b) of [(a,b)]: suppose  $(a',b') \in [(a,b)]$ , then  $(a',b') \sim (a,b)$  so a'+b=b'+a, hence a'-b'=a-b.

Let's show that g is injective: if g([(a,b)]) = g([(c,d)]) then a - b = c - d, so a + d = b + c, so  $(a,b) \sim (c,d)$ , so [(a,b)] = [(c,d)].

Finally, to see that g is surjective, let  $n \in \mathbb{Z}$ . If  $n \ge 0$  then n = g([(n+1,1)]); if n < 0 then n = g([(1,1-n)]).

**Solution A.4.** (a) Let  $f: V \longrightarrow V$ . Clearly  $id_V$  is unipotent and  $f = id_V \circ f$ , so  $f \sim f$ .

(b) Suppose  $f \sim g$  so that  $f = u \circ g$ , where  $(u - \mathrm{id}_V)^k = 0$ . Pick  $m \in \mathbb{Z}_{\geq 1}$  such that  $p^m > k$ , and observe that

$$0 = (u - \mathrm{id}_V)^{p^m} = u^{p^m} - \mathrm{id}_V$$

as End(V) has characteristic p. Thus  $u^{p^m-1} \circ f = g$ , and  $u^{p^m-1}$  is unipotent as

$$(u^{p^m-1} - \mathrm{id}_V)^{p^m} = u^{p^m(p^m-1)} - \mathrm{id}_V = 0.$$

(c) Define  $f, g \in \text{End}(V)$  by

$$f(s)(1) = s(1) + s(2), \ f(s)(j) = s(j) \ \forall \ j \neq 1$$
  
 $g(s)(2) = s(1) + s(2), \ g(s)(j) = s(j) \ \forall \ j \neq 2.$ 

We have  $(f - id_V)^2 = (g - id_V)^2 = 0$ , so f and g are unipotent and thus  $f \sim id_V$  and  $g \sim id_V$ . But g is invertible, and

$$(f \circ g^{-1} - \mathrm{id}_V)^3(s)(j) = \begin{cases} s(j) & \text{if } j = 1, 2\\ 0 & \text{otherwise,} \end{cases}$$

so  $(f \circ g^{-1} - \mathrm{id}_V)^{3m} \neq 0$  for all  $m \geq 1$ , and thus  $f \circ g^{-1}$  cannot be unipotent, meaning  $f \not\uparrow g$ .

**Solution A.5.** For part (a), reflexiveness follows as  $v - v = 0 \in W$ , symmetry follows as  $v - v' \in W$  implies  $-1 \times (v - v') = v' - v \in W$ , and transitivity follows as  $v - v', v' - v'' \in W$  imply  $v - v' + v' - v'' = v - v'' \in W$ .

For part (b), if [v] = [u] (and hence  $v - u \in W$ ), then [v] + [v'] = [v + v'] = [u + v'] = [u] + [v'] where the middle equality follows as  $v + v' - (u + v') = v - u \in W$ . This shows addition is well-defined. Similarly,  $\lambda[v] = [\lambda v] = [\lambda u] = \lambda[u]$  where the middle equality follows as  $\lambda v - \lambda u = \lambda(v - u) \in W$ . This shows scalar multiplication is well-defined.

For part (c), define g([v]) := f(v), which clearly satisfies  $f = g \circ \pi$ . To show this is well-defined, suppose [v] = [v'] so that  $v' - v \in W$ . Then

$$g([v]) = f(v) = f(v) + 0 = f(v) + f(v' - v) = f(v + v' - v) = f(v') = g([v']).$$

Also g is linear as g([v + v']) = f(v + v') = f(v) + f(v') = g([v]) + g([v']) and  $g(\lambda[v]) = g([\lambda v]) = f(\lambda v) = \lambda f(v) = \lambda g([v])$ . Finally to show it is unique, suppose  $g_1, g_2 : V/W \longrightarrow U$  both satisfy  $f = g_1 \circ \pi = g_2 \circ \pi$ . Then subtracting these equations gives  $0 = (g_1 - g_2) \circ \pi$ , which implies  $g_1 = g_2 = 0$  as  $\pi$  is surjective.

# (Un) Countability

**Solution A.6.** • Given  $S \in X$ , the identity function  $\mathrm{id}_S \colon S \longrightarrow S$  is bijective, so  $S \sim S$ .

- If  $S \sim T$  then there is a bijective function  $f \colon S \longrightarrow T$ , so there's a bijective inverse function  $f^{-1} \colon T \longrightarrow S$ , that is  $T \sim S$ .
- If  $S \sim T$  and  $T \sim W$ , then there are bijective functions  $f \colon S \longrightarrow T$  and  $g \colon T \longrightarrow W$ . The composition  $g \circ f \colon S \longrightarrow W$  is bijective, so  $S \sim W$ .

**Solution A.7.** Without loss of generality, we may assume that f is surjective and we want to show that Y is finite or countable.

Also without loss of generality (by pre-composing f with any bijection  $\mathbf{N} \longrightarrow X$ ), we may assume that  $f \colon \mathbf{N} \longrightarrow Y$  is surjective.

As  $f: \mathbb{N} \longrightarrow Y$  is surjective, there exists a right inverse  $g: Y \longrightarrow \mathbb{N}$ , in other words  $f \circ g: Y \longrightarrow Y$  is the identity function  $\mathrm{id}_Y$ : given  $y \in Y$ , the pre-image  $f^{-1}(y) \subseteq \mathbb{N}$  is nonempty, so it has a smallest element  $n_y$ ; we let  $g(y) = n_y$ . For any  $y \in Y$ , we have  $f(g(y)) = f(n_y) = y$  as  $n_y \in f^{-1}(y)$ . So  $f \circ g = \mathrm{id}_Y$ .

In particular, this forces  $g: Y \longrightarrow \mathbf{N}$  to be injective, hence realising Y as a subset of the countable set  $\mathbf{N}$ . We conclude by Proposition A.6 that Y is finite or countable.

#### Solution A.8. Write

$$S = \bigcup_{n \in \mathbf{N}} S_n,$$

with each  $S_n$  a countable set. It is clear that S is infinite (as, say,  $S_1$  is, and  $S_1 \subseteq S$ ).

For each  $n \in \mathbb{N}$ , fix a bijection  $\varphi_n \colon \mathbb{N} \longrightarrow S_n$ . (As Chengjing rightfully points out to me, this uses the Axiom of Countable Choice.) Define a function  $\psi \colon \mathbb{N} \times \mathbb{N} \longrightarrow S$  by:

$$\psi((n,m)) = \varphi_n(m) \in S_n \subseteq S.$$

This is surjective, and  $\mathbf{N} \times \mathbf{N}$  is countable, so S is finite or countable, and we ruled out finite above.

**Solution A.9.** Since B is countable we can enumerate it as  $B = \{b_n : n \in \mathbb{N}\}$ . For each  $n \in \mathbb{N}$ , let  $W_n = \text{Span}\{b_1, \dots, b_n\}$ . Then for each  $n \in \mathbb{N}$ ,  $W_n$  is isomorphic (as a **Q**-vector space) to  $\mathbb{Q}^n$ , hence  $W_n$  is countable. I claim that

$$W = \bigcup_{n \in \mathbf{N}} W_n.$$

One inclusion is obvious, as  $W_n \subseteq W$  for all  $n \in \mathbb{N}$ . For the other direction, let  $w \in W = \operatorname{Span}(B)$ , so there exist  $n \in \mathbb{N}$ ,  $a_1, \ldots, a_n \in \mathbb{Q}$  and  $k_1, \ldots, k_n \in \mathbb{N}$  such that

$$w = a_1 b_{k_1} + \dots + a_n b_{k_n}.$$

Let  $k = \max\{k_1, \dots, k_n\}$ , then  $w \in W_k$ .

So W is a countable union of countable sets, hence countable by Exercise A.8.

The last claim follows directly from the fact that  $\mathbf{R}$  is an uncountable set.

#### LINEAR ALGEBRA

Solution A.10. TODO

Solution A.11. TODO

Solution A.12. TODO

Solution A.13. We can write  $f(\mathbf{x}) = \mathbf{x}^T A \mathbf{x}$  where  $A = (a_{ij})$  is a real matrix. Observe that we can replace A by  $A' := \frac{1}{2}(A + \overline{A}^T)$  and the equation holds true. Since A' is a Hermitian matrix, by the Spectral theorem we can write  $A' = P^{-1}BP$  where P is a (unitary) matrix whose columns are orthonormal eigenvectors of A, and  $B = \text{diag}(b_1, \ldots, b_n)$  is a diagonal matrix with the corresponding eigenvalues. If  $\mathbf{r}_i$  denotes the  $i^{\text{th}}$  row of  $P^{-1}$ , then setting  $g_i(\mathbf{x}) := \mathbf{r}_i \mathbf{x}$  gives the desired result.

Comment. The result still holds true if we allow  $a_{ij} \in \mathbb{C}$ , but the above proof does not apply; research Takagi factorisation.

# Uniform continuity and uniform convergence

**Solution A.14.** (a) Take (for example)  $f_n(x) = e^{x-n}$ , which converges pointwise to f(x) = 0.

(b) Suppose for the sake of contradiction that f is uniformly continuous. Let  $\varepsilon > 0$  be given. By uniform convergence, there exists N > 0 such that  $|f_N(x) - f(x)| < \varepsilon/3$  for all  $x \in \mathbf{R}$ . Also by the uniform continuity of f, there exists  $\delta > 0$  such that  $|f(x) - f(x')| < \varepsilon/3$  whenever  $|x - x'| < \delta$ . Then for all  $x, x' \in \mathbf{R}$  with  $|x - x'| < \delta$ , we have

$$|f_N(x) - f_N(x')| \le |f_N(x) - f(x)| + |f(x) - f(x')| + |f(x') - f_N(x')|$$

$$= \varepsilon/3 + \varepsilon/3 + \varepsilon/3$$

$$= \varepsilon.$$

which contradicts the fact that  $f_N$  is not uniformly continuous.

- **Solution A.15.** (a) Take for example  $f_n(x) = e^{-x^{2n}}$ , which converges pointwise to  $f(x) = \mathbf{1}_{\{0\}}(x)$ .
  - (b) Let  $\varepsilon > 0$  be given. By uniform convergence, there exists some  $f_n$  such that  $|f_n(x) f(x)| < \varepsilon/3$  for all  $x \in \mathbf{R}$ . By the uniform continuity of  $f_n$ , there exists some  $\delta > 0$  such that  $|f_n(x) f_n(x')| < \varepsilon/3$  whenever  $|x x'| < \delta$ . Then by the triangle inequality, for all  $x, x' \in \mathbf{R}$  satisfying  $|x x'| < \delta$ , we have

$$|f(x) - f(x')| \le |f(x) - f_n(x)| + |f_n(x) - f_n(x')| + |f_n(x') - f(x')|$$

$$< \varepsilon/3 + \varepsilon/3 + \varepsilon/3$$

$$= \varepsilon.$$

# B. Appendix: Miscellaneous

## ZORN'S LEMMA

**Solution B.1.** The fact that  $\subseteq$  is a partial order follows directly from known properties of set inclusion.

If  $\Omega$  has at least two distinct elements  $x_1$  and  $x_2$ , then  $\{x_1\}$  and  $\{x_2\}$  are not comparable under  $\subseteq$ , so the latter is not a total order.

**Solution B.2.** We proceed by induction on n, the cardinality of X.

Base case: if n = 1 then  $X = \{x\}$  for a single element x. Then trivially x is a maximal element of X.

For the induction step, fix  $n \in \mathbb{N}$  and suppose that any poset of cardinality n has a maximal element. Let X be a poset of cardinality n + 1 and choose an arbitrary element  $x \in X$ . Let  $Y = X \setminus \{x\}$ , then Y is a poset of cardinality n so by the induction hypothesis has a maximal element  $m_Y$ , and clearly  $m_Y \neq x$ .

We have two possibilities now:

- If  $m_Y \le x$ , then x is a maximal element of X. Why? Suppose that x is not maximal in X, so that there exists  $z \in X$  such that  $z \ne x$  and  $x \le z$ . Since  $z \ne x$ , we must have  $z \in Y$ . If  $z = m_Y$ , then  $z \le x$  and  $x \le z$  so z = x, contradiction. So  $z \ne m_Y$ , and  $m_Y \le x$  and  $x \le z$ , so  $m_Y \le z$ , contradicting the maximality of  $m_Y$  in Y.
- Otherwise, (if it is not true that  $m_Y \leq x$ ),  $m_Y$  is a maximal element of X. Why? Suppose there exists  $z \in X$  such that  $z \neq m_Y$  and  $m_Y \leq z$ . Since  $m_Y \leq x$  is not true, we have  $z \neq x$ , so  $z \in Y$ , contradicting the maximality of  $m_Y$  in Y.

In either case we found a maximal element for X.

An alternative approach is to proceed by contradiction: suppose  $(X, \leq)$  is a nonempty finite poset that does not have a maximal element. Use this to construct an unbounded chain of elements of X, contradicting finiteness.

**Solution B.3.** If  $V = \{0\}$ , then  $\emptyset$  is vacuously a (in fact, the only) basis of V.

Suppose  $V \neq \{0\}$ . If  $v \in V \setminus \{0\}$ , then  $\{v\}$  is a linearly independent subset of V. Let X be the set of all linearly independent subsets of V, then X is nonempty. We consider the partial order  $\subseteq$  on X given by inclusion of subsets.

Let C be a nonempty chain in X and define

$$U = \bigcup_{S \in C} S,$$

then clearly  $S \subseteq U$  for all  $S \in C$ , so we'll know that U is an upper bound for C as soon as we can show that it is linearly independent (so that  $U \in X$ ).

Suppose there exist  $n \in \mathbb{N}$ ,  $a_1, \ldots, a_n \in \mathbb{F}$ , and  $u_1, \ldots, u_n \in U$  such that

$$(B.1) a_1u_1 + \dots + a_nu_n = 0.$$

Let  $J = \{1, ..., n\}$ . For each  $j \in J$ , there exists  $S_j \in C$  such that  $u_j \in S_j$ . As C is totally ordered, there exists  $i \in J$  such that  $S_j \subseteq S_i$  for all  $j \in J$ . But this means that  $u_1, ..., u_n \in S_i$ ,

so that the linear relation of Equation (B.1) takes place in the linearly independent set  $S_i$ . Therefore  $a_1 = \cdots = a_n = 0$ .

We conclude that X satisfies the conditions of Zorn's Lemma, hence it has a maximal element B. I claim that B spans V, so that it is a basis of V.

We prove this last claim by contradiction: if  $v \in V \setminus \text{Span}(B)$ , then  $B' := B \cup \{v\}$  is linearly independent, hence an element of X. But  $B \subseteq B'$  and  $B \neq B'$ , contradicting the maximality of B.

- **Solution B.4.** (a) Clearly  $(A, s_A) \leq (A, s_A)$ . Now if  $(A, s_A) \leq (B, s_B)$  and  $(B, s_B) \leq (A, s_A)$ , then  $A \subseteq B \subseteq A \Longrightarrow A = B$ , and thus  $s_A|_B = s_A = s_B = s_B|_A$ . For the last condition, if  $(A, s_A) \leq (B, s_B)$  and  $(B, s_B) \leq (C, s_C)$ , then clearly  $A \subseteq C$ , and  $s_C|_A = s_C|_B|_C = s_B|_A = s_A$ .
  - (b) Let  $C = \{(A_i, s_{A_i})\}_{i \in I}$  be a nonempty chain in P(f). Define  $A := \bigcup_{i \in I} A_i$ , and  $s_A(y) = s_{A_i}(y)$  if  $y \in A_i$ . This is well-defined as if  $y \in A_i \cap A_j$ , then without loss of generality  $A_i \leq A_j$ , and so  $s_{A_i}(y) = s_{A_j}|_{A_i}(y) = s_{A_j}(y)$ . Observe that  $A_i \subseteq A$  and  $s_A|_{A_i} = s_{A_i}$  for all  $i \in I$ , so we have constructed the desired upper bound.
  - (c) We deduce from the previous part and Zorn's lemma that there exists a maximal element  $(M, s_M) \in P(f)$ . Suppose that  $M \neq Y$ ; then there exists  $y_0 \in Y \setminus M$ . By the surjectivity of f, there exists  $x_0 \in X$  such that  $f(x_0) = y_0$ . Then we can define  $M' = M \cup \{y_0\}$  and  $s_{M'}$  by  $s_{M'}|_{M} = s_M$  and  $s_{M'}(y_0) = x_0$  so that  $f \circ s_{M'} = \mathrm{id}_{M'}$ . But this contradicts the maximality of  $(M, s_M)$ , so M = Y and we obtain the desired map  $s = s_M$ .

## Linear algebra

**Solution B.5.** Let  $S = \{e_1, e_2, ...\}$  and W = Span(S).

For each  $n \in \mathbb{N}$ , define

$$W_n = \operatorname{Span} \{e_1, e_2, \dots, e_n\} \subseteq W.$$

I claim that

$$W = \bigcup_{n \in \mathbf{N}} W_n.$$

One inclusion is clear, as  $W_n \subseteq W$  for all  $n \in \mathbb{N}$ .

For the other inclusion, let  $w \in W$ . Then there exist  $m \in \mathbb{N}$ ,  $a_1, \ldots, a_m \in \mathbb{R}$  and  $k_1, \ldots, k_m \in \mathbb{N}$  such that

$$w = a_1 e_{k_1} + \dots + a_m e_{k_m}.$$

Set  $n = \max\{k_1, \ldots, k_m\}$ , then  $w \in W_n$ .

Is  $W = \mathbf{R}^{\mathbf{N}}$ ? No. Any  $w \in W$  appears in a  $W_n$  for some  $n \in \mathbf{N}$ , therefore only the first n entries of w can be nonzero. This means, for instance, that  $v = (1, 1, 1, ...) \notin W$ . So S does not span  $\mathbf{R}^{\mathbf{N}}$ .

**Solution B.6.** This is a straightforward rewriting of the definition of algebraic:  $\alpha$  is algebraic if and only if it satisfies a polynomial equation with coefficients in  $\mathbf{Q}$ , which is equivalent to a nontrivial linear relation between the powers of  $\alpha$ , which exists if and only if T is linearly dependent.

**Solution B.7.** We have to prove that  $ev_{\alpha} : V \longrightarrow \mathbf{F}$  is linear.

If  $f_1, f_2 \in \mathbf{F}[x]$ , then

$$\operatorname{ev}_{\alpha}(f_1 + f_2) = (f_1 + f_2)(\alpha) = f_1(\alpha) + f_2(\alpha) = \operatorname{ev}_{\alpha}(f_1) + \operatorname{ev}_{\alpha}(f_2).$$

If  $f \in \mathbf{F}[x]$  and  $\lambda \in \mathbf{F}$ , then

$$\operatorname{ev}_{\alpha}(\lambda f) = (\lambda f)(\alpha) = \lambda f(\alpha) = \lambda \operatorname{ev}_{\alpha}(f).$$

**Solution B.8.** As in Proposition B.2, we have  $B = (v_1, \ldots, v_n)$  and  $B^{\vee} = (v_1^{\vee}, \ldots, v_n^{\vee})$ . Write  $(a_{ij})$  for the entries of the matrix M. For future reference, the i-th row of M is

$$\begin{bmatrix} a_{i1} & a_{i2} & \dots & a_{in} \end{bmatrix}.$$

By the definition of matrix representations, we have

$$T(v_1) = a_{11}v_1 + a_{21}v_2 + \dots + a_{n1}v_n$$

$$T(v_2) = a_{12}v_1 + a_{22}v_2 + \dots + a_{n2}v_n$$

$$\vdots$$

$$T(v_n) = a_{1n}v_1 + a_{2n}v_2 + \dots + a_{nn}v_n$$

The *i*-th column of  $M^{\vee}$  is given by the  $B^{\vee}$ -coordinates of the vector  $T^{\vee}(v_i^{\vee}) = v_i^{\vee} \circ T$ . To determine these, we apply  $v_i^{\vee} \circ T$  to the basis vectors  $v_1, \ldots, v_n$ :

$$T^{\vee}(v_i^{\vee})(v_j) = (v_i^{\vee} \circ T)(v_j) = v_i^{\vee}(T(v_j)) = v_i^{\vee}(a_{1j}v_1 + a_{2j}v_2 + \dots + a_{nj}v_n) = a_{ij}.$$

This means that

$$T^{\vee}(v_i^{\vee}) = a_{i1}v_1^{\vee} + a_{i2}v_2^{\vee} + \dots + a_{in}v_n^{\vee}$$

and the *i*-th column of  $M^{\vee}$  is

$$\begin{bmatrix} a_{i1} \\ a_{i2} \\ \vdots \\ a_{in} \end{bmatrix},$$

precisely the i-th row of M.

We conclude that  $M^{\vee} = M^{T}$ , the transpose of the matrix M.

#### Solution B.9.

(a) Given  $\varphi_1, \varphi_2 \in V^{\vee}$ , we have

$$\Gamma(\varphi_1 + \varphi_2) = ((\varphi_1 + \varphi_2)(v_1), \dots, (\varphi_1 + \varphi_2)(v_n))$$

$$= (\varphi_1(v_1), \dots, \varphi_1(v_n)) + (\varphi_2(v_1), \dots, \varphi_2(v_n))$$

$$= \Gamma(\varphi_1) + \Gamma(\varphi_2).$$

Given  $\varphi \in V^{\vee}$  and  $\lambda \in \mathbf{F}$ , we have

$$\Gamma(\lambda\varphi) = ((\lambda\varphi)(v_1), \dots, (\lambda\varphi)(v_n))$$
$$= (\lambda\varphi(v_1), \dots, \lambda\varphi(v_n))$$
$$= \lambda\Gamma(\varphi).$$

(b) Suppose  $\Gamma$  is injective. Let  $W = \operatorname{Span}\{v_1, \dots, v_n\}$ . We want to prove that W = V.

Suppose  $W \neq V$ . Let  $C = \{w_1, \ldots, w_k\}$  be a basis of W and extend it to a basis  $B = \{w_1, \ldots, w_k, w_{k+1}, \ldots, w_m\}$  of V.

Let  $B^{\vee}$  be the dual basis to B and consider its last element  $v_m^{\vee}$  given by

$$v_m^{\vee}(a_1w_1+\cdots+a_mw_m)=a_m.$$

Then  $v_m^{\vee} \neq 0$  (since  $v_m^{\vee}(w_m) = 1$ , for instance) but  $v_m^{\vee}(w) = 0$  for all  $w \in W$ . In particular,  $v_m^{\vee}(v_1) = \cdots = v_m^{\vee}(v_n) = 0$ , so  $\Gamma(v_m^{\vee}) = 0$ , contradicting the injectivity of  $\Gamma$ .

We conclude that W = V, in other words  $\{v_1, \ldots, v_n\}$  spans V.

Conversely, suppose  $\{v_1, \ldots, v_n\}$  spans V. If  $\varphi_1, \varphi_2 \in V^{\vee}$  are such that  $\Gamma(\varphi_1) = \Gamma(\varphi_2)$ , then  $\Gamma(\varphi_1 - \varphi_2) = 0$ , so setting  $\varphi = \varphi_1 - \varphi_2$ , we want to show that  $\varphi = 0$ , the constant zero function.

If  $\varphi \neq 0$ , then there exists  $v \in V - \{0\}$  such that  $\varphi(v) \neq 0$ . Since  $\{v_1, \ldots, v_n\}$  spans V, then we can write v as

$$v = b_1 v_1 + \dots + b_n v_n.$$

But  $\Gamma(\varphi) = 0$ , so

$$0 \neq \varphi(v) = b_1 \varphi(v_1) + \dots + b_n \varphi(v_n) = 0,$$

which is a contradiction. So we must have  $\varphi = 0$ , that is  $\varphi_1 = \varphi_2$ . We conclude that  $\Gamma$  is injective.

(c) Suppose  $\Gamma \colon V^{\vee} \longrightarrow \mathbf{F}^n$  is surjective. Let

$$a_1v_1 + \dots + a_nv_n = 0$$

be a linear relation.

Let  $i \in \{1, ..., n\}$ . Since  $\Gamma$  is surjective, given the standard basis vector  $e_i \in \mathbf{F}^n$  (1 in the i-th entry), there exists  $\varphi_i \in V^{\vee}$  such that  $\Gamma(\varphi_i) = e_i$ . If we apply  $\varphi_i$  on both sides of the linear relation, we get

$$a_i = 0.$$

Since this holds for all i, the relation is trivial.

Conversely, suppose  $\{v_1, \ldots, v_n\}$  is linearly independent. This set can be enlarged to a basis  $B = \{v_1, \ldots, v_n, v_{n+1}, \ldots, v_m\}$  of V, with dual basis  $v_1^{\vee}, \ldots, v_m^{\vee}$ .

Now take an arbitrary vector in  $\mathbf{F}^n$ :

$$w = \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix}.$$

Let

$$\varphi = a_1 v_1^{\vee} + \dots + a_n v_n^{\vee},$$

then

$$\Gamma(\varphi) = \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = w.$$

We conclude that  $\Gamma$  is surjective.

**Solution B.10.** (a) Suppose  $T^{\vee}(\ell) = 0$ , that is  $\ell \circ T$  is the zero map. But since T is surjective, this implies  $\ell = 0 \in W^{\vee}$ .

- (b) Let A be the matrix representation of T with respect to some basis  $B = (b_1, \ldots, b_n)$ ; recall that  $A^{\mathsf{T}}$  is the matrix representation of  $T^{\mathsf{V}}$  with respect to the basis  $B^{\mathsf{V}} = (b_1^{\mathsf{V}}, \ldots, b_n^{\mathsf{V}})$ . Since T is injective, rank $(A) = n = \dim(V)$ . Then rank $(A^{\mathsf{T}}) = n = \dim(V^{\mathsf{V}})$ , so  $A^{\mathsf{T}}$  has full-rank and thus  $T^{\mathsf{V}}$  is surjective.
- (c) Let V be the vector space of finitely supported real sequences, that is

$$V = \{(x_1, x_2, \ldots) \in \mathbf{R}^{\mathbf{N}} : \text{ finitely many } x_i \neq 0\},$$

and let  $W = \mathbb{R}^{\mathbb{N}}$  be the space of all real sequences. Clearly  $V \hookrightarrow W$  is injective. But the induced map  $W^{\vee} \longrightarrow V^{\vee}$  is not surjective; the functional  $(x_1, x_2, \dots) \longmapsto x_1 + x_2 + \dots$  in  $V^{\vee}$  does not extend to a functional in  $W^{\vee}$ .

# TOPOLOGICAL GROUPS

#### Solution B.11.

(a) By Exercise 1.26, if G is Hausdorff then the singleton  $\{e\}$  is closed.

Conversely, suppose  $\{e\}$  is a closed subset of G. Consider the map  $f: G \times G \longrightarrow G$  given by  $f(g,h) = g^{-1}h$ , then f is continuous and

$$f^{-1}(e) = \{(g,g) : g \in G\} = \Delta(G)$$

(see Tutorial Question 3.9). Since f is continuous and  $\{e\}$  is closed,  $\Delta(G)$  is closed in  $G \times G$ , so by Tutorial Question 3.9, G is Hausdorff.

(b) We have

$$Z = \{g \in G \colon gxg^{-1}x^{-1} = e \text{ for all } x \in G\} = \bigcap_{x \in G} \{g \in G \colon gxg^{-1}x^{-1} = e\}$$

which is an intersection of closed sets, since each of the sets is the inverse image of  $\{e\}$  under the continuous map  $g \longmapsto gxg^{-1}x^{-1}$ .

(c) The assertion is immediate from  $ker(f) = f^{-1}(e)$ .

**Solution B.12.** In this proof, we will keep using the following fact: if U is a neighbourhood of some element g of G, and if g' is another element of G, then g'U is a neighbourhood of g'g. This follows from the equation  $g'U = L_{g'^{-1}}(U)$  and the continuity of  $L_{g'^{-1}}$  (see Proposition B.9).

(a) $\Rightarrow$ (b): This follows from Exercise 1.18.

(b) $\Rightarrow$ (c): Suppose f is continuous at some element g of G. Since f is a group homomorphism,  $f(e_G) = e_H$ . If U is a neighbourhood of  $e_H$ , then f(g)U is a neighbourhood of g, so  $f^{-1}(f(g)U)$  is a neighbourhood of g. Since

$$x \in f^{-1}(U) \iff f(x) \in U \iff f(gx) \in f(g)U \iff gx \in f^{-1}(f(g)U),$$

it follows that  $f^{-1}(U) = g^{-1}f^{-1}(f(g)U)$ , so  $f^{-1}(U)$  is a neighbourhood of  $e_G$ .

(c) $\Rightarrow$ (a): Using similar arguments as in the proof for (b) $\Rightarrow$ (c), we can prove that continuity at  $e_G$  implies continuity at every element of G. Hence f is continuous by Exercise 1.18.

#### Solution B.13.

(a) Let  $v = f(1) \in V$ .

For  $n \in \mathbb{N}$  we have

$$f(n) = f(1+1+\cdots+1) = f(1)+\cdots+f(1) = nv.$$

For  $m \in \mathbb{N}$  we have

$$v = f(1) = f\left(\frac{1}{m} + \dots + \frac{1}{m}\right) = mf\left(\frac{1}{m}\right),$$

so f(1/m) = (1/m)v.

Therefore, for any  $n, m \in \mathbb{N}$  we have

$$f\left(\frac{n}{m}\right) = nf\left(\frac{1}{m}\right) = \frac{n}{m}v.$$

Combining this with f(-a) = -f(a) and f(0) = 0, we conclude that f(x) = xv = xf(1) for all  $x \in \mathbb{Q}$ .

(b) Let  $f: \mathbf{R} \longrightarrow \mathbf{R}$  be additive. Let  $g: \mathbf{Q} \longrightarrow \mathbf{R}$  be the restriction of f to  $\mathbf{Q} \subseteq \mathbf{R}$ . Let a = g(1) = f(1).

By part (b), g(q) = q g(1) = qa for all  $q \in \mathbf{Q}$ . Let  $x \in \mathbf{R}$ . As  $\mathbf{Q}$  is dense in  $\mathbf{R}$ , there is some sequence  $(q_n) \longrightarrow x$  with  $q_n \in \mathbf{Q}$ ; since f is continuous we have

$$f(x) = f\left(\lim_{n \to \infty} q_n\right) = \lim_{n \to \infty} f(q_n) = \lim_{n \to \infty} g(q_n) = \lim_{n \to \infty} (q_n a) = xa = xf(1).$$

Hence f is  $\mathbf{R}$ -linear.

- (c) It follows from Exercise B.12 that f is continuous, so by part (c) f is  $\mathbf{R}$ -linear.
- (d) Let B be a  $\mathbf{Q}$ -basis for  $\mathbf{R}$ . Exactly one element of B is a nonzero rational, and without loss of generality we may assume it is 1. Since B is uncountable, it also contains uncountably many irrationals. Let  $b, c \in B \cap (\mathbf{R} \setminus \mathbf{Q})$ . Consider the bijective function  $\sigma \colon B \longrightarrow B$  given by

$$\sigma(b) = c,$$
  $\sigma(c) = b,$   $\sigma(x) = x \text{ for all } x \in B \setminus \{b, c\}.$ 

Since B is a **Q**-basis of **R**,  $\sigma$  extends by **Q**-linearity to a **Q**-linear transformation  $f: \mathbf{R} \longrightarrow \mathbf{R}$ . In particular, f is additive.

Suppose that f is **R**-linear, then:

$$c = f(b) = bf(1) = b1 = b$$
,

contradicting the fact that  $b \neq c$ .

#### Solution B.14.

(a) Suppose H is open. If g is an element of G, then gH is open because  $gH = L_{g^{-1}}^{-1}(H)$  and  $L_{g^{-1}}$  is continuous by Proposition B.9. Now the result follows from the equation

$$G \setminus H = \bigcup_{g \notin H} gH.$$

The converse does not hold. If  $G = \mathbb{R}$ , which is given the Euclidean topology, and if  $H = \{0\}$ , then H is a closed subgroup of G but it is not open.

(b) Suppose H is closed. If g is an element of G, then  $L_{g^{-1}}$  is continuous by Proposition B.9, so  $gH = L_{g^{-1}}^{-1}(H)$  is closed because of Exercise 1.17. Since H is of finite index, it has are only finitely many cosets  $H, g_1H, \ldots, g_nH$ . It follows that

$$G \setminus H = \bigcup_{n=1}^{n} gH = G,$$

which is closed because it is a finite union of closed sets. Hence H is open.

The converse does not hold. Let  $G = \mathbf{R}$  but endow it with the discrete topology, and let  $H = \mathbf{Z}$ . Then H is open in G but it is not of finite index (because if it is, then  $\mathbf{R}$  is a finite union of countable sets, and is thus countable by Exercise A.8).

(c) Arguing as in part (a), we have

$$G = \bigcup_{g \in G} gH,$$

so  $\{gH:g\in G\}$  is an open cover of G. Since G is compact, this open cover admits a finite sub-cover, which implies that H has finite index.

(d) Yes. Let G be any infinite group with the discrete topology, and let  $H = \{e\}$ , then H is open in G but it does not have finite index.

#### Solution B.15.

(a) If g is an element of S, then gT is open because  $gT = L_{g^{-1}}^{-1}(T)$  and  $L_{g^{-1}}$  is continuous by Proposition B.9. It then follows from

$$ST = \bigcup_{s \in S} sT$$

that ST is open.

- (b) If S or T is empty, then  $ST \neq \emptyset$ , so it is connected. Otherwise, the product  $S \times T$  is connected by Exercise 1.45, so  $ST = m(S \times T)$  is connected by Proposition 2.32.
- (c) The product  $S \times T$  is compact by Theorem 2.41, so  $ST = m(S \times T)$  is compact by Proposition 2.39.
- (d) Since inversion is a homeomorphism, it follows from Proposition 2.39 that  $S^{-1}$  is compact. The inclusion  $j: S^{-1} \times G \longrightarrow G \times G$  is continuous by Exercise 1.21. Since T is closed, it follows from Exercise 1.17 that  $m^{-1}(T) \subseteq G \times G$  is closed and then  $j^{-1}(m^{-1}(T)) \subseteq S^{-1} \times G$  is closed.

We now claim that

$$ST = \pi_2 (j^{-1}(m^{-1}(T)));$$

and this implies ST is closed because  $\pi_2$  is closed by Theorem 2.41 (here we crucially need  $S^{-1}$  to be compact). To prove this equation, we start with an element g of ST. Since  $g \in ST$ , there exists an element s of S and an element t of T such that g = st. It follows that  $(s^{-1}, g) \in j^{-1}(m^{-1}(T))$ , so

$$g \in \pi_2(j^{-1}(m^{-1}(T))).$$

For the other inclusion, suppose  $(s',g) \in j^{-1}(m^{-1}(T))$ , It follows that  $s'g \in T$ , so  $g \in s'^{-1}T$ , which implies  $g \in ST$  because  $s' \in S^{-1}$ . Hence the equation holds.

(e) Since  $\mathbf{Z} + \pi \mathbf{Z} = \bigcup_{n \in \mathbf{Z}} (n + \pi \mathbf{Z})$ , it follows from Exercise A.8 that  $\mathbf{Z} + \pi \mathbf{Z} \neq \mathbf{R}$ ; but we know it is dense in  $\mathbf{R}$ , so it cannot be closed. Hence  $\mathbf{Z}$  and  $\pi \mathbf{Z}$  are closed in  $\mathbf{R}$ , but  $\mathbf{Z} + \pi \mathbf{Z}$  is not closed.